

# NAMRS FFY 2017 Report 3: Case Component

September 27, 2018





# Acknowledgements

The Administration for Community Living gratefully acknowledges the voluntary submission of data to the National Adult Maltreatment Reporting System (NAMRS) by states, District of Columbia, and territories. We appreciate the support of Adult Protective Services staff, supervisors, and program administrators, whose efforts resulted in near-universal submission of Federal Fiscal Year 2017 data to the National Adult Maltreatment Reporting System.

## Suggested Citation

Aurelien, G., Beatrice, M., Cannizzo, J., Capehart, A., Gassoumis, Z., Ph.D., Greene, M. (2018). *NAMRS FFY2017 Report 3: Case Component*. Submitted to the Administration of Community Living, U.S. Department of Health and Human Services.

#### Disclaimer

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# **Executive Summary**

The statistics in this report are based on data submitted to NAMRS, which is a voluntary reporting system that was developed by the U.S. Department of Health and Human Services, Administration for Community Living. In FFY 2017, 55 APS reporting jurisdictions volunteered to participate by providing information and data. For NAMRS, a reporting jurisdiction is the officially designated APS office in the state, territory, or district. States choose to submit Agency Component only or Agency Component and either Key Indicators Component or Case Component.

Much information can be gleaned from reviewing the initial year of NAMRS data submissions in conjunction with the FFY 2017 data. Both years' reports can be accessed on <u>ACL's NAMRS site</u>.

- ➤ NAMRS Background Report: This report discusses the development of the NAMRS data system, provides an overview of the data elements and the data submission process, and discusses the known limitations and future directions of NAMRS.
- ➤ Report 1: Agency Component: This report provides highlights of APS agency profile information and investigation data, including statutes, policies and procedures; investigative practices; data systems; intake processes; staffing; training; and client assessments.
- ➤ Report 2: Key Indicators Component: This report consists of aggregated data on key statistics of investigations and victims, clients, and perpetrators provided by states that are unable to provide case-level data.
- Report 3: Case Component: This report consists of case level data on investigations, client, maltreatment allegations, perpetrator, and client-perpetrator relationship.

A final note on limitations of the FFY 2016 and FFY2017 data reports. For a new national reporting system, care was taken to explain how many states were able to submit information; the percentage of individual data elements provided; and to describe limitations discovered when reviewing data. No state could provide all Case Component, nor all Key Indicator Component data elements, and no two states reported on all of the same data elements. Data contained in the exhibit tables will not always total 100%. Agency and Key Indicator Components data have aggregate totals, which contain duplicate counts of clients, victims, and perpetrators. The Case Component data, conversely, are unique. Case Component data consists of client characteristics, services, and perpetrator characteristics, provided by states that have report-level tracking systems. For these reasons, readers are cautioned against attempting to compare or combine data reported in Agency, Key Indicator, or Case Components.

# Introduction

The National Adult Maltreatment Reporting System (NAMRS) Federal Fiscal Year (FFY) 2017 Case Component report offers an overview of case-level data pertaining to investigations, clients, victims, maltreatment allegations, perpetrators, and client perpetrator relationships. The FFY2017 reporting period was October 1, 2016 through September 30, 2017 and was reported in calendar year 2018.

The NAMRS Case Component has 54 data elements. Additionally, this report provides cross tabulations of Case Component data elements for victims with a substantiated maltreatment type. Three key definitions for client, victim, and perpetrator are as follows: (a) a client is a person who received an investigation regarding a report of alleged maltreatment; (b) a victim is a person who received an investigation and one or more of the alleged maltreatments were substantiated; and (c) a perpetrator is the person associated with the substantiated maltreatment.

Since this is a new national reporting system, in its second year of data collection, explanations are provided showing how many states submitted information, the percentage of individual data elements provided, and limitations discovered when reviewing data. NAMRS was developed to allow maximum flexibility to decrease state reporting burden.

This Case Component report contains case-level data from 26 states. Clients and perpetrators are assigned encrypted, de-identified numbers and no personal identifiable information is collected. The data elements are individually identified with a narrative and data table. Data contained in the exhibit tables will not always total 100%, as states may select multiple data values for certain data elements. Data graphs or charts are also included for almost all exhibits.

A review of data comparisons was conducted between the two reporting years and the differences were negligible. The factors of additional states reporting Case Component and submitting more data elements and data values contributed to the small differences in data between reporting years.

Selecting which states to use in reporting Case Component characteristics required balancing the desire to report as much of the submitted information as possible, with the need to avoid presenting results based on incomplete information. When case records have a large amount of missing information, the reader is cautioned about drawing incorrect conclusions or interpretations. The total number of records and the number of records that contain the data element are described in the narratives

For the review of data, misinterpretation is harder to avoid when presenting cross tabulations of data elements with considerable amounts of missing information. To minimize the potential of presenting biased data, we used a general rule to only present results on a given data element for states that submitted information for at least 75% of records. However, exceptions to this rule were made for certain data elements. The exceptions are made when it is the norm for information to be recorded in data systems only when the characteristic is present and not recorded when it is absent.

The number of data elements submitted, and the completeness of each record vary across the 26 states reporting Case Component. This report contains information for each data element even

when there may be only a few states providing the data. Sharing information about the evolution of the data collected and reported is relevant to the adaptation of a new data system.

This report includes cross tabulations of select data elements with maltreatment type. These cross tabulations are only presented for maltreatment types that have been substantiated, as differences are expected to exist between cases that involve substantiated versus unsubstantiated maltreatment. The data elements selected for these cross tabulations were based on the availability of the data element across several states. To enhance the readability of some exhibits, some data element categories were combined, such as the three types of Exploitation: Exploitation (Non-Specific), Financial Exploitation, and Other Exploitation.

States and individuals were excluded from individual data elements' cross tabulations for three specific reasons:

- 1. If 25% or more of the records had missing/unknown values for that data element.
- 2. Apparent inconsistencies for a data element.
- 3. Some states record self-neglecters as being both the client and the perpetrator, however, this practice is far from universal. To avoid confusion, perpetrators were excluded from the review if their only substantiated maltreatment type was Self-Neglect. For cross tabulations of perpetrator characteristics, data are not presented for Self-Neglect.

An increase in the number of states reporting Case Component and in the reporting of additional data elements and values indicates steady progress from FFY2016 to FFY2017. We applaud the work of the states in their continued advancement of data collection and reporting of data to NAMRS.

# **Case Component Data**

# **DS-1 State Component Submissions**

Fifty-five states submitted the Agency Component. The second decision for states was whether to submit Key Indicator Component or Case Component data. States could submit Case Component data if their automated information system allowed for extraction of investigation specific caselevel data.

"Exhibit DS-1 State Component Submission FFY2016-FFY2017" provides details on how many states submitted each component in FFY2016 and FFY2017. In FFY2016, 24 states submitted Agency Component and Case Component, 20 states reported Agency Component and Key Indicators Component, ten states provided Agency Component only, and two states did not participate. In FFY2017, 26 states provided Agency Component and Case Component. Twentyone states provided Agency Component and Key Indicators Component. Eight states provided Agency Component only. Only one state was unable to participate.

# of States that Submitted (2016)(2017)

# of States that Submitted **Component** 2 1 Did Not Participate 10 8 Agency Only Agency and Key Indicators 20 21 24 26 Agency and Case

Exhibit DS-1 State Component Submission FFY2016-FFY2017

# **Case Component – Investigations**

#### **IN-1 Investigation - Records Submission**

Case Component submissions of investigation records by 26 states are shown in "Exhibit IN-1 Investigation Records Submission FFY2017." A total of 356,566 records were submitted in FFY2017. NAMRS analytical conventions require that states submit records with an Investigation Identification and Case Closure Date; therefore, 100% of records included these two data elements. In addition, 99.9% included a Report Date, 95.8% provided an Investigation Start Date, and 71% reported an Investigation Disposition Date. Twenty states were able to provide the Report Source and 19 states provided the Federal Information Processing Standards (FIPS) Code of the Investigative Agency.<sup>1</sup>

<sup>&</sup>lt;sup>1</sup> Census Bureau https://www.census.gov/geo/reference/gtc/gtc\_codes.html#fips. The Census Bureau and other federal agencies assign codes to geographic entities to facilitate the organization, presentation, and exchange of

Exhibit IN-1 Investigation Records Submission FFY2017

Data Element	# of States	# of Records	# of Records with Data Element	% of Records with Data	% of Total Records (N=356,566)
Investigation ID	26	356,566	356,566	100.0%	100.0%
Case Closure Date	26	356,566	356,566	100.0%	100.0%
Report Date	26	356,566	356,164	99.9%	99.9%
Investigation Start Date	25	343,013	341,612	99.6%	95.8%
Report Source	20	310,895	264,783	85.2%	74.3%
Investigation Disposition Date	21	272,495	253,078	92.9%	71.0%
FIPS Code of Investigative Agency	19	248,347	244,746	98.6%	68.6%

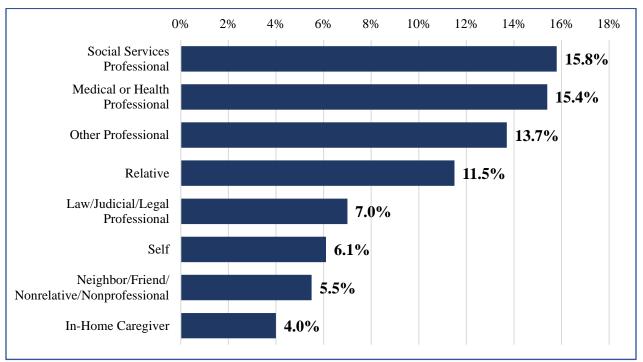
#### **IN-2a Investigations - Report Source**

Twenty states reported at least one report source per record (representing 310,895 records of 356,566 total investigation records). "Exhibit IN-2a Investigations-Report Source FFY2017" lists the possible roles or professions of the person who made the report of the suspected adult maltreatment. States may include more than one report source for an investigation therefore, the data table percentages will not equal 100%. The top three report sources were Social Services Professional (15.8%), Medical or Health Professional (15.4%), Other Professional (13.7%) and None or Unknown (14.8%). Reasons for None or Unknown values may include: anonymous reporters, recorded as Unknown in state reporting system or staff were not able to determine; and data submitted to NAMRS did not contain the information, presumably because the information was not collected.

<sup>-</sup>

statistical data and other information. Federal Information Processing Standards (FIPS) codes are assigned alphabetically by geographic name for states, counties, core based statistical areas, places, county subdivisions, consolidated cities and all types of American Indian, Alaska Native, and Native Hawaiian (AIANNH) areas.

Exhibit IN-2a Investigations-Report Source FFY2017



Categories less than 3% not shown. None and Unknown not shown.

Report Sources among Investigations	# of States that Submitted	# of Report Sources	% of Investigations (N=310,895)
Social Services Professional	19	49,122	15.8%
Medical or Health Professional	17	47,801	15.4%
Other Professional	19	42,485	13.7%
Relative	19	35,774	11.5%
Law/Judicial/Legal Professional	20	21,674	7.0%
Self	17	19,025	6.1%
Neighbor/Friend/Nonrelative/Nonprofessional	20	17,110	5.5%
In-Home Caregiver	17	12,435	4.0%
Mental/Behavioral Health Professional	16	8,745	2.8%
Nursing Home Staff	13	8,213	2.6%
Financial Professional	13	6,859	2.2%
Residential Care Community Staff	11	4,030	1.3%
Education Professional	7	1,277	0.4%
Substitute Decision Maker	12	629	0.2%
None	17	23,264	7.5%
Unknown	15	22,848	7.3%

#### **IN-2b Investigations - Multiple Report Sources**

Adult protective services programs may receive the same report of alleged maltreatment of the same person by different reporters. "Exhibit IN-2b Investigations - Multiple Report Sources FFY2017" indicates the number of investigations with multiple report sources. Most investigations, 82.2%, included only one report source while 14.8% did not include a report source.

Exhibit IN-2b I	'nvestigations -	Multiple Report	t Sources	<i>FFY2017</i>

Investigations with Multiple Report Sources	# of States	# of Investigations	% of Investigations (N=310,895)
No Report Source Submitted	20	46,112	14.8%
Only 1 Report Source	20	255,419	82.2%
2 Report Sources	9	8,591	2.8%
3 Or More Report Sources	7	773	0.2%

#### IN-2c Investigations - Substantiated Maltreatment Type by Report Source

"Exhibit IN-2c Investigations - Substantiated Maltreatment Type by Report Source FFY2017" presents data submitted by 14 states representing 91,075 investigations, first for all substantiated investigations and then for all investigations containing each type of substantiated maltreatment. Note that an investigation may include substantiation of multiple types of maltreatment, in which case that investigation would be included in the column of every type of maltreatment that was substantiated. Also, each investigation can be reported by multiple report sources, so the percentages in each column may add up to more than 100%. This cross tabulation excludes four states' records due to the presence of too much missing data (more than 25%). Health/Social Services Professionals were the most frequent reporters among all substantiated investigations (42.4%) and for investigations that involved each type of substantiated maltreatment, including Sexual Abuse (52.6%), Neglect (49.5%), and Physical Abuse (47.1%).

Exhibit IN-2c Investigations - Substantiated Maltreatment Type by Report Source FFY2017

Report Source	All Substantiated Investigations	Abandonment	Emotional Abuse	Exploitation	Neglect	Physical Abuse	Sexual Abuse	Self-Neglect	Other Type
Self or Substitute Decision Maker	8.3%	11.1%	9.9%	5.5%	2.0%	5.3%	3.0%	9.3%	4.7%
Relative	12.5%	9.6%	16.2%	16.2%	11.5%	9.2%	2.8%	12.7%	11.0%
Neighbor, Friend, Other Nonrelative/Nonprofessional	5.4%	10.2%	4.8%	6.1%	4.5%	3.6%	1.3%	5.8%	7.0%
In-Home Caregiver	5.0%	3.0%	3.4%	2.9%	3.7%	2.5%	1.3%	5.5%	3.5%
Health/Social Services Professional	42.2%	36.8%	39.2%	29.2%	49.5%	47.1%	52.6%	42.4%	43.4%

Report Source	All Substantiated Investigations	Abandonment	Emotional Abuse	Exploitation	Neglect	Physical Abuse	Sexual Abuse	Self-Neglect	Other Type
Other Professional	13.5%	12.6%	16.4%	26.2%	14.8%	20.4%	26.6%	11.4%	21.0%
Multiple Report Sources	2.1%	0.3%	0.6%	3.9%	5.2%	3.3%	4.8%	1.7%	0.7%
None	3.9%	16.2%	4.0%	4.1%	3.9%	3.0%	3.0%	3.6%	8.4%
Unknown	7.0%	0.1%	5.7%	5.8%	4.9%	5.6%	4.7%	7.7%	0.2%
Total	91,075	791	5,492	7,725	8,334	5,266	538	69,472	3,508

#### **IN-3a Investigations - Duration**

Investigation duration is defined as the time from case opening to case closure. State APS program policies and procedures for the opening and closing of investigation records varies by number of business or calendar days, number of days based on the maltreatment type, and review of case record by a supervisor. "Exhibit IN-3a Investigations - Duration FFY2017" displays information from 26 states (356,566 investigation records submitted with 356,164 records that included duration). About one third (31.5%) of investigations were closed within 31-60 days of receipt of the report, and 13.6% were closed within 61-90 days of the receipt of the report. Only a small portion (1.7%) of investigations were closed after one year.

0% 5% 10% 15% 20% 25% 30% 35% 40% Less Than 1 Day 1.9% 1-7 Days 8.0% 8.8% 8-14 Days 15-30 Days 17.8% 31.5% 31-60 Days 61-90 Days 13.6% 12.3% 91-180 Days 181-365 Days 4.5% More than 365 Days

Exhibit IN-3a Investigations - Duration FFY2017

Unknown not shown above.

Investigation Duration	# of States	# of Investigations	% of Investigations (N=356,164)
Less Than 1 Day	21	6,596	1.9%
1-7 Days	26	28,553	8.0%
8-14 Days	26	31,243	8.8%
15-30 Days	26	63,364	17.8%
31-60 Days	26	112,166	31.5%
61-90 Days	26	48,397	13.6%
91-180 Days	26	43,781	12.3%
181-365 Days	26	16,000	4.5%
More Than 365 Days	22	6,064	1.7%
Unknown	5	402	0.1%

#### IN-3b Investigations - Substantiated Maltreatment Type by Duration

"Exhibit IN-3b Investigations - Substantiated Maltreatment Type by Duration FFY2017" presents data submitted by 24 states representing 112,857 investigations, first for all substantiated investigations and then for all investigations containing each type of substantiated maltreatment. Note that an investigation may include substantiation of multiple types of maltreatment, in which case that investigation would be included in the column of every type of maltreatment that was substantiated. The most frequent duration was 31-60 days among all substantiated investigations (32.6%) and for investigations that involved substantiated Abandonment (51.5%), Other Type (40.7%), Self-Neglect (33.6%), Exploitation (32.0%), Neglect (28.6%), Physical Abuse (27.2%), and Emotional Abuse (24.3%).

Exhibit IN-3b Investigations - Substantiated Maltreatment Type by Duration FFY2017

Investigation Duration	All Substantiated Investigations	Abandonment	Emotional Abuse	Exploitation	Neglect	Physical Abuse	Sexual Abuse	Self-Neglect	Other Type
14 Days or Fewer	12.9%	11.5%	17.3%	10.6%	15.2%	20.7%	30.4%	11.0%	18.9%
15-30 Days	15.6%	13.4%	20.7%	16.9%	15.9%	18.2%	21.8%	14.6%	11.0%
31-60 Days	32.6%	51.5%	24.3%	32.0%	28.6%	27.2%	21.8%	33.6%	40.7%
61-90 Days	16.2%	16.4%	13.2%	12.6%	13.2%	12.8%	9.0%	17.5%	18.3%
91 Days or More	22.6%	7.3%	24.3%	27.6%	26.6%	20.8%	16.7%	23.2%	11.1%
Unknown	0.2%	0.0%	0.4%	0.3%	0.5%	0.3%	0.2%	0.1%	0.1%
Total	112,857	828	6,631	12,290	11,946	6,709	687	82,245	4,272

# **Case Component – Clients**

#### **CL-1 Client Record Submission**

Twenty-six states submitted 361,185 client records. The one required data element was a unique client identification. States varied in their ability to submit other data elements. "Exhibit CL-1 Client Record Submission FFY2017" displays summary information about the client data and subsequent exhibits provide detail on each data element.

Exhibit CL-1 Client Record Submission FFY2017

Data Element	# of States that Submitted	# of Records from States	# of Records with Data	% of Records from States with Data	% of Total Records (N=361,185)
Client ID	26	361,185	361,185	100.0%	100.0%
Gender Identity	26	361,185	353,281	97.8%	97.8%
Age	26	361,185	349,556	96.8%	96.8%
Case Closure Reason	23	336,127	329,104	97.9%	91.1%
Race	24	359,231	283,738	79.0%	78.6%
Previous Report	14	287,371	273,788	95.3%	75.8%
Primary Language	16	286,604	249,984	87.2%	69.2%
FIPS Code of Client Residence	16	247,852	227,169	91.7%	62.9%
Ethnicity	20	335,460	201,120	60.0%	55.7%
Marital Status	18	243,637	153,917	63.2%	42.6%
Living Setting at Close	11	204,194	160,812	78.8%	44.5%
Disabilities	15	237,828	139,978	58.9%	38.8%
Living Setting at Start	13	138,864	112,009	80.7%	31.0%
Maltreatment Setting	12	93,641	74,124	79.2%	20.5%
Behavioral Health Screenings	9	192,262	53,173	27.7%	14.7%
Benefits	9	100,638	47,770	47.5%	13.2%
Services Referred	11	102,425	46,516	45.4%	12.9%
Veteran Status	10	70,076	41,931	59.8%	11.6%
Services at Start	8	81,146	38,511	47.5%	10.7%
Income Level	8	57,729	27,735	48.0%	7.7%
Services APS	8	98,413	27,053	27.5%	7.5%
Services at Close	6	76,883	25,273	32.9%	7.0%

Data Element	# of States that Submitted	# of Records from States	# of Records with Data	% of Records from States with Data	% of Total Records (N=361,185)
Schooling Level	8	56,347	18,198	32.3%	5.0%
ADL Score	1	18,921	14,980	79.2%	4.1%
IADL Score	1	18,921	14,869	78.6%	4.1%
Employment Status	5	47,592	11,632	24.4%	3.2%
Interagency Coordination	9	86,993	17,622	20.3%	4.9%
Substitute Decision Makers at Start	7	67,627	10,445	15.4%	2.9%
Substitute Decision Makers at Close	6	84,775	3,889	4.6%	1.1%
Sexual Orientation	1	17,365	610	3.5%	0.2%

#### **CL-2 Clients - Maltreatment Setting**

Twelve states provided 93,641 client records on maltreatment setting in FFY2017. "Exhibit CL-2 Clients - Maltreatment Setting FFY2017" provides information about the location where the alleged maltreatment occurred. By far the highest category was maltreatment in the client's own residence or private residence of a relative or caregiver at 67.2%. Other Setting was the second highest percentage at 4.3%. Unknown was reported for 20.8% of the client records. Reasons for Unknown values may include: recorded as Unknown in state reporting system or staff were not able to determine; and data submitted to NAMRS did not contain the information, presumably because the information was not collected.

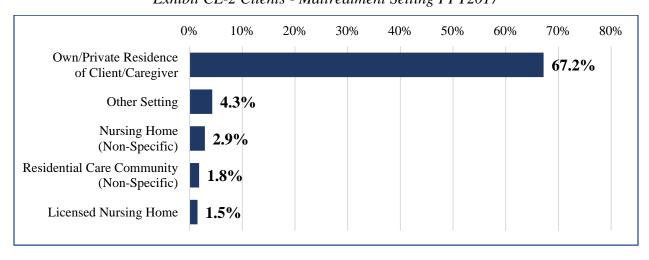


Exhibit CL-2 Clients - Maltreatment Setting FFY2017

Categories less than 1% not shown above. Unknown not shown above.

Clients by Maltreatment Setting	# of States that Submitted	Maltreatment Setting Count	% of Clients (N=93,641)
Own/Private Residence of Client/Caregiver	12	62,966	67.2%
Other Setting	10	4,064	4.3%
Nursing Home (Non-Specific)	9	2,738	2.9%
Residential Care Community (Non-Specific)	9	1,668	1.8%
Licensed Nursing Home	4	1,425	1.5%
Place of Business or Other Services	6	696	0.7%
Licensed Residential Care Community	6	355	0.4%
Unlicensed Residential Care Community	3	62	0.1%
Unlicensed Nursing Home	2	57	0.1%
Adult Day Services Center (Non-Specific)	5	67	0.1%
Licensed Adult Day Services Center	2	26	0.03%
Unlicensed Adult Day Services Center	0	0	0.00%
Unknown	12	19,517	20.8%

#### **CL-3a Clients - Case Closure Reason**

Nineteen states submitted 336,127 client records of which 329,104 records included a closure reason in FFY2017. Many state APS programs provide protective services to address the maltreatment before closing a case. APS programs may offer a range of short- or long-term services to clients or refer them for services provided by other organizations. In a few states, APS clients can refuse an investigation if they have capacity; in most states, APS clients can refuse services if they have not been adjudicated incompetent by a court of law. If a client did not receive protective services to address maltreatment, they were reported as Investigation Completed or Investigation Not Completed. If both investigative and protective services were provided to the victim or "at-risk" client, their case would be reported as Investigative/Protective Services Completed or Protective Services Closed/Not Completed. "Exhibit CL-3a Clients - Case Closure Reason FFY2017" provides the reasons for closure of a client's case.

10% 50% 60% 0% 20% 30% 40% 50.0% **Investigation Completed** Investigation/Protective 29.3% Services Completed Other Closure Reason 7.2% Investigation Not Completed 4.9% (Non-Specific) Investigation Not Completed 2.0% (Client Refusal) **Investigation Not Completed** 1.5% (Client Death) Protective Services Closed 1.7% (Client Decision)

Exhibit CL-3a Clients - Case Closure Reason FFY2017

Categories less than 1% not shown above. Unknown not shown above.

Clients by Closure Reason	# of States that Submitted	Case Closure Count	% of Clients (N=336,127)
Investigation Completed	19	168,081	50.0%
Investigation/Protective Services Completed	19	98,546	29.3%
Other Closure Reason	13	24,088	7.2%
Investigation Not Completed (Non-Specific)	13	16,327	4.9%
Investigation Not Completed (Client Refusal)	9	6,757	2.0%
Investigation Not Completed (Client Death)	9	4,892	1.5%
Protective Services Closed (Client Decision)	12	5,636	1.7%
Protective Services Not Completed (Non-Specific)	6	1,657	0.5%
Protective Services Closed (Client Death)	8	3,120	0.9%
Unknown	13	7,023	2.1%

#### CL-3b Victims - Substantiated Maltreatment Type by Case Closure Reason

"Exhibit CL-3b Victims - Substantiated Maltreatment Type by Case Closure Reason Type FFY2017" presents data submitted by 21 states representing 105,811 victims, first for all substantiated victims and then for all victims of each type of substantiated maltreatment. Note that a victim may have substantiation of multiple types of maltreatment, in which case that victim would be included in the column of every type of maltreatment that was substantiated. The most

frequent case closure reason was Investigation/Services Completed among all substantiated victims (80.0%) and for victims of each type of substantiated maltreatment, including Self-Neglect (81.6%), Exploitation (76.9%), and Physical Abuse (76.5%).

Exhibit CL-3b Victims - Substantiated Maltreatment Type by Case Closure Reason FFY2017

Case Closure Reason	All Substantiated Investigations	Abandonment	Emotional abuse	Exploitation	Neglect	Physical Abuse	Sexual Abuse	Self-Neglect	Other Type
Investigation/Services Completed	80.0%	73.4%	67.2%	76.9%	75.0%	76.5%	75.9%	81.6%	66.4%
Investigation/Services Incomplete at Request/Refusal of Client	7.3%	1.6%	12.4%	6.3%	4.5%	8.5%	5.6%	7.8%	4.7%
Investigation/Services Incomplete/Client Death	3.2%	1.7%	1.2%	2.2%	4.5%	1.3%	0.5%	3.5%	2.0%
Investigation/Services Incomplete, Other	1.8%	5.2%	0.6%	1.8%	1.4%	1.3%	1.0%	1.8%	6.1%
Other Reason	7.1%	18.2%	18.4%	11.8%	13.6%	11.6%	17.1%	4.9%	20.1%
Unknown	0.5%	-	0.2%	1.0%	1.0%	0.8%	0.0%	0.4%	0.7%
Total	105,811	830	6,231	11,525	10,590	6,196	626	78,396	3,521

#### **CL-4a Clients - Age Group**

Twenty-six states submitted 361,185 records of which 349,556 included the data element. "Exhibit CL-4a Clients - Age Group FFY2017" displays data on the age of clients. The largest age group for clients was 75-84 years (21.3%); the second largest age group was the 60-69 age group (20.2%); and the third highest was the 85+ age group (14.6%). Because not all age groups encompass the same number of years (e.g., 70-74 is only five years), the largest age groups do not necessarily have the highest concentration of clients per year of age. The age for 3.2% of the client records was unknown. Reasons for Unknown values may include: recorded as Unknown in state reporting system or staff were not able to determine; and data submitted to NAMRS did not contain the information, presumably because the information was not collected.

0% 5% 10% 15% 20% 25% Age 18-29 6.6% Age 30-39 4.7% Age 40-49 5.9% Age 50-59 11.9% Age 60-69 20.2% Age 70-74 11.5% Age 75-84 21.3% 14.6% Age 85+

Exhibit CL-4a Clients - Age Group FFY2017

Unknown not shown above.

Age Group	# of States that Submitted	Age Group Count	% of Clients (N=361,185)
Age 18-29	25	23,720	6.6%
Age 30-39	25	17,091	4.7%
Age 40-49	26	21,341	5.9%
Age 50-59	26	43,097	11.9%
Age 60-69	26	73,092	20.2%
Age 70-74	26	41,509	11.5%
Age 75-84	26	76,983	21.3%
Age 85+	26	52,723	14.6%
Unknown	19	11,629	3.2%

#### CL-4b Victims - Substantiated Maltreatment Type by Age Group

"Exhibit CL-4b Victims - Substantiated Maltreatment Type by Age Group FFY2017" presents data submitted by 23 states representing 109,095 victims, first for all substantiated victims and then for all victims of each type of substantiated maltreatment. Note that a victim may have substantiation of multiple types of maltreatment, in which case that victim would be included in the column of every type of maltreatment that was substantiated. This cross tabulation excludes one state's records due to the presence of too much missing data (more than 25%).

The largest age group was 60-69 among all substantiated victims. This was also the largest age group for victims of Abandonment, Physical Abuse, and Self-Neglect. The largest age group for victims of other substantiated maltreatment types were: 18-29 for Sexual Abuse; and 75-84 for Emotional Abuse, Exploitation, Neglect, and Other Type. Because not all age groups encompass the same number of years (e.g., 70-74 is only five years), the largest age groups do not necessarily have the highest concentration of victims per year of age.

Exhibit CL-4b Victims - Substantiated Maltreatment Type by Age Group FFY2017

Age Group	All Victims	Abandonment	Emotional Abuse	Exploitation	Neglect	Physical Abuse	Sexual Abuse	Self-Neglect	Other Type
Age 18-29	4.3%	5.9%	6.3%	3.3%	9.2%	12.0%	34.0%	2.7%	8.5%
Age 30-39	3.2%	7.1%	4.0%	2.0%	4.9%	5.9%	13.2%	2.7%	4.8%
Age 40-49	5.2%	8.7%	5.1%	2.8%	5.7%	6.0%	9.4%	5.2%	6.8%
Age 50-59	12.9%	22.8%	10.8%	6.8%	9.8%	11.5%	13.2%	14.2%	13.3%
Age 60-69	23.8%	24.9%	22.8%	19.2%	17.0%	20.4%	9.5%	25.9%	19.2%
Age 70-74	13.3%	10.6%	13.3%	14.3%	10.4%	12.5%	3.8%	13.9%	11.5%
Age 75-84	22.9%	14.2%	23.5%	29.1%	22.8%	18.9%	7.5%	22.6%	22.6%
Age 85+	13.3%	5.4%	12.9%	20.4%	18.5%	11.2%	8.7%	11.8%	13.3%
Unknown	1.1%	0.6%	1.3%	2.0%	1.8%	1.7%	0.7%	0.9%	0.1%
Total	109,095	804	6,487	11,532	11,283	6,523	682	79,448	4,272

## **CL-5a Clients - Gender Identity**

Twenty-six states submitted 361,185 client records, of which 353,281 included Gender Identity. "Exhibit CL-5a Clients - Gender Identity FFY2017" provides client gender identity data. In FFY 2017, 57.4% of clients were female and 40.4% were male. Three states were able to report transgender identity. A gender identity was not included in 2.2% of the client records submitted and were classified as Unknown.

Exhibit CL-5a Clients - Gender Identity FFY2017

Transgender not shown above.

Gender Identity	# of states that submitted	Gender Identity Count	% of clients (N=361,185)
Male	26	145,875	40.4%
Female	26	207,391	57.4%
Transgender	3	15	0.0%
Unknown	20	7,904	2.2%

#### **CL-5b Clients - Substantiated Maltreatment Type by Gender Identity**

"Exhibit CL-5b - Substantiated Maltreatment Type by Gender Identity FFY2017" presents data submitted by 24 states representing 113,170 victims, first for all substantiated victims and then for all victims of each type of substantiated maltreatment. A victim may have substantiation of multiple types of maltreatment, in which case that victim would be included in the column of every type of maltreatment that was substantiated. The most frequent gender identity was Female among all substantiated victims (57.9%) and for victims of each type of substantiated maltreatment, including Sexual Abuse (77.7%), Emotional Abuse (70.6%), and Physical Abuse (63.6%).

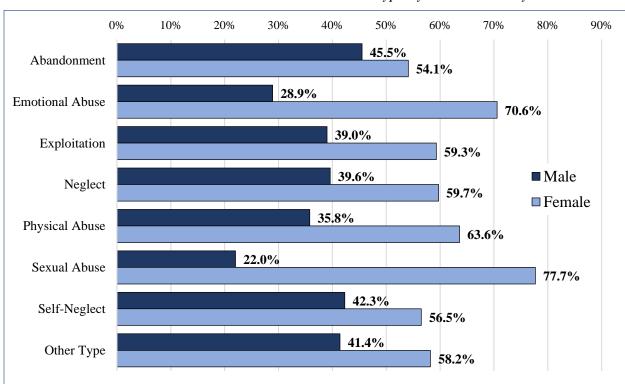


Exhibit CL-5b Clients - Substantiated Maltreatment Type by Gender Identity FFY2017

Transgender and Unknown not shown above.

Gender Identity	All Victims	Abandonment	Emotional abuse	Exploitation	Neglect	Physical Abuse	Sexual Abuse	Self-Neglect	Other Type
Male	41.0%	45.5%	28.9%	39.0%	39.6%	35.8%	22.0%	42.3%	41.4%
Female	57.9%	54.1%	70.6%	59.3%	59.7%	63.6%	77.7%	56.5%	58.2%
Transgender	0.004%	-	-	0.03%	0.01%	0.01%	-	-	-
Unknown	1.1%	0.4%	0.5%	1.7%	0.7%	0.6%	0.3%	1.1%	0.5%
Total	113,170	830	6,642	12,343	12,012	6,719	687	82,436	4,272

#### **Clients - Sexual Orientation**

Sexual Orientation is not a common data element collected by APS programs. One state submitted Sexual Orientation for 610 of its 17,365 client records. Over 96% of the client records submitted indicated the sexual orientation of the client was unknown.

#### **CL-6a Clients - Race**

In FFY2017, 24 states submitted 359,231 records, of which 283,738 included at least one race. States are permitted to report multiple races for one individual therefore, the data table percentages will not equal 100%. "Exhibit CL-6a Clients - Race FFY2017" shows that 56.4% of clients were classified as being White and 13.7% reported as Black or African American. The race was unknown for 21% of client records.

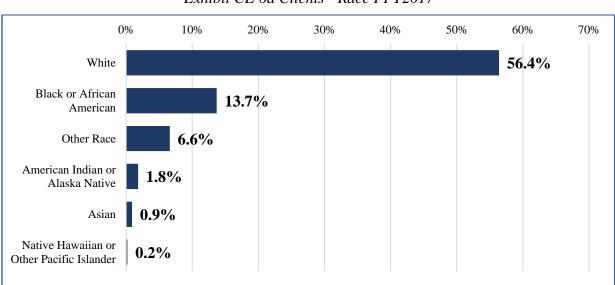


Exhibit CL-6a Clients - Race FFY2017

Unknown not shown above.

Race	# of States that Submitted	Race Count	% of Clients (N=359,231)
White	23	202,751	56.4%
Black or African American	23	49,170	13.7%
Other Race	16	23,762	6.6%
American Indian or Alaska Native	23	6,484	1.8%
Asian	20	3,211	0.9%
Native Hawaiian or Other Pacific Islander	18	647	0.2%
Unknown	22	75,493	21.0%

## **CL-6b Clients - Multiple Races**

States may report multiple races for one client. "Exhibit CL-6b Clients - Multiple Races FFY2017" indicates the number of clients with multiple races. The majority of client records, 78.4%, listed only one race and 21% did not include a race.

Exhibit CL-6b Clients - Multiple Races FFY2017

Clients with Multiple Races	# of States	# of Clients	% of Clients (N=359,231)
No Race Submitted	22	75,493	21.0%
Only 1 Race	24	281,525	78.4%
2 Races	9	2,153	0.6%
3 or More Races	5	60	0.02%

#### **CL-7 Clients - Ethnicity**

Twenty states submitted 335,460 client records of which 19 states' records (201,120) included ethnicity. "Exhibit CL-7 Clients - Ethnicity FFY2017" shows that 49.2% of clients were classified as Not Hispanic, Latino/a, or Spanish. A smaller percentage, 10.8%, were classified as Hispanic, Latino/a, or Spanish. The ethnicity was unknown for 40% of clients.

Unknown 40.0% Not Hispanic 49.2%

Exhibit CL-7 Clients - Ethnicity FFY2017

Ethnicity	# of States that Submitted	<b>Ethnicity Count</b>	% of Clients (N=335,460)
Hispanic, Latino/a, or Spanish	19	36,173	10.8%
Not Hispanic, Latino/a, or Spanish	16	164,947	49.2%
Unknown	19	134,340	40.0%

## **CL-8a Clients - Race/Ethnicity**

Twenty-three states submitted data on race/ethnicity (359,231 total records submitted, of which 290,127 contained at least one race). Per convention, race/ethnicity is assigned as Hispanic/Latino for everyone reporting a Hispanic/Latino ethnicity. All non-Hispanic/Latino individuals who reported multiple races were classified as such; all other non-Hispanic/Latino individuals were assigned a single race. "Exhibit CL-8a Clients - Race/Ethnicity FFY2017" shows that non-Hispanic whites accounted for 49.7% of clients, while 0.6% had multiple races recorded.

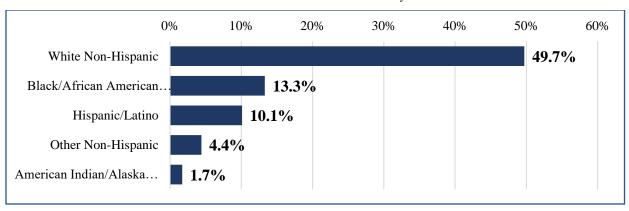


Exhibit CL-8a Clients - Race/Ethnicity FFY2017

Categories less than 1% not shown above. Unknown not shown above.

Race/Ethnicity	# of States that Submitted	Race/Ethnicity Count	% of Clients (N=359,231)
White Non-Hispanic	23	178,658	49.7%
Black/African American Non- Hispanic	23	47,880	13.3%
Hispanic/Latino	20	36,173	10.1%
Other Non-Hispanic	16	15,752	4.4%
American Indian/Alaska Native Non-Hispanic	22	5,970	1.7%
Asian Non-Hispanic	22	3,079	0.9%
Native Hawaiian/Other Pacific Islander Non-Hispanic	19	477	0.1%
Multiple Races Non-Hispanic	9	2,138	0.6%
Unknown	22	69,104	19.2%

#### CL-8b Victims - Substantiated Maltreatment Type by Race/Ethnicity

"Exhibit CL-8b Victims - Substantiated Maltreatment Type by Race/Ethnicity FFY2017" presents data submitted by 13 states representing 93,077 victims, first for all substantiated victims and then for all victims of each type of substantiated maltreatment. A victim may have substantiation of multiple types of maltreatment, in which case that victim would be included in the column of every type of maltreatment that was substantiated. This cross tabulation excludes nine states' records due to the presence of too much missing data (more than 25%). The most frequent race/ethnicity was Non-Hispanic White among all substantiated victims (55.4%) and for victims of each type of substantiated maltreatment, including Emotional Abuse (71.2%), Sexual Abuse (71.2%), and Exploitation (68.6%).

Exhibit CL-8b Victims - Substantiated Maltreatment Type by Race/Ethnicity FFY2017

Race/Ethnicity	All Victims	Abandonment	Emotional Abuse	Exploitation	Neglect	Physical Abuse	Sexual Abuse	Self-Neglect	Other Type
White Non-Hispanic	55.4%	60.9%	71.2%	68.6%	64.7%	66.2%	71.2%	51.7%	66.2%
Black/African American Non- Hispanic	16.7%	12.4%	13.8%	14.3%	17.5%	13.8%	15.7%	17.5%	10.4%
Hispanic/Latino	13.1%	1.4%	6.0%	3.4%	5.7%	9.6%	5.1%	15.7%	0.4%
American Indian/Alaska Native Non-Hispanic	1.9%	15.1%	1.5%	1.7%	1.9%	1.3%	2.4%	1.6%	12.2%
Other Non-Hispanic	0.9%	3.9%	1.8%	1.3%	1.1%	1.2%	1.1%	0.6%	6.4%
Asian Non-Hispanic	0.7%	3.4%	0.6%	0.5%	0.8%	0.7%	0.6%	0.7%	2.1%

Race/Ethnicity	All Victims	Abandonment	Emotional Abuse	Exploitation	Neglect	Physical Abuse	Sexual Abuse	Self-Neglect	Other Type
Native Hawaiian/Other Pacific Islander Non-Hispanic	0.1%	-	0.3%	0.2%	0.3%	0.2%	-	0.1%	-
Multiple Races Non-Hispanic	0.7%	-	0.6%	0.7%	1.2%	1.0%	0.8%	0.7%	-
Unknown	10.5%	2.8%	4.3%	9.3%	6.8%	6.1%	3.2%	11.5%	2.4%
Total	93,077	814	5,669	8,043	9,197	5,381	534	71,115	2,675

#### **CL-9 Clients - Primary Language**

Sixteen states reported the clients' primary language (286,604 client records, of which 249,984 included language). "Exhibit CL-9a Clients - Primary Language FFY2017" indicates that English was identified as the primary language for 80.6% and Spanish or Spanish Creole for 3.8% of clients in FFY2017. NAMRS has 11 other primary language choices including Sign Language and Assistive Technology. Additional details for all language choices can be found in "Exhibit CL-9b Clients - Primary Language (Details)." The language was Unknown for 12.8% of records. Reasons for Unknown values may include: recorded as Unknown in state reporting system or staff were not able to determine; and data submitted to NAMRS did not contain the information, presumably because the information was not collected.

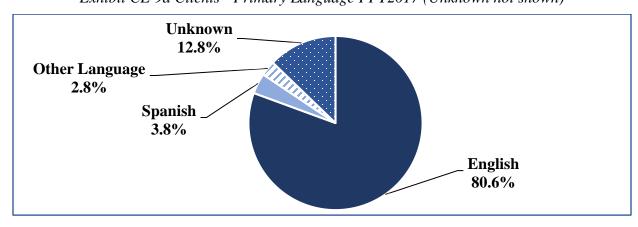


Exhibit CL-9a Clients - Primary Language FFY2017 (Unknown not shown)

Primary Language	# of States that Submitted	# of Clients	% of Clients (N=286,604)
English	16	231,104	80.6%
Spanish	15	10,978	3.8%
Other Language	14	7,902	2.8%

Primary Language	# of States that Submitted	# of Clients	% of Clients (N=286,604)
Unknown	12	36,620	12.8%
English	16	231,104	80.6%
	Primary Language Details	s (N=286,604)	
Spanish	15	10,978	3.8%
Other Language	14	6,125	2.1%
Sign Language	12	355	0.1%
Russian	11	376	0.1%
French	10	289	0.1%
Chinese	10	253	0.1%
Vietnamese	12	155	0.1%
Korean	11	172	0.1%
Arabic	8	111	0.04%
German	7	25	0.01%
Tagalog	4	40	0.01%
Assistive Technology	1	1	0.0003%
Unknown	12	36,620	12.8%

#### **CL-10 Clients - Schooling Level**

Eight states provided the clients' highest education level (56,347 records submitted with 18,198 of these including schooling level). The category with the highest percentage of clients reported was High School Diploma or Equivalent at 19.4%. The next highest response was Less than High School at 10.8%. The other school levels, Associate's or Bachelor's Degree and Advanced Degree, combined for 2.1% of responses. The clients' schooling level was unknown for 67.7% of clients. Reasons for Unknown values may include: recorded as Unknown in state reporting system or staff were not able to determine; and data submitted to NAMRS did not contain the information, presumably because the information was not collected.

10% 20% 25% 0% 5% 15% Less Than High School 10.8% High School Diploma 19.4% or Equivalent Associate's Degree or 1.8% Bachelor's Degree Advanced Degree 0.3%

Exhibit CL-10 Clients - Schooling Level FFY2017

Unknown not shown above.

Schooling Level	# of states that Submitted	# of Clients	% of Clients (N=56,347)
Less than High School	8	6,084	10.8%
High School Diploma or Equivalent	8	10,936	19.4%
Associate's Degree or Bachelor's Degree	8	1,023	1.8%
Advanced Degree	7	155	0.3%
Unknown	8	38,149	67.7%

#### **CL-11a Clients - Marital Status**

Eighteen states reported the marital status of clients (243,637 total client records submitted with 153,917 records that included marital status). "Exhibit CL-11a Clients - Marital Status FFY2017" indicates that the top three statuses were Never Married at 18.1%, Widowed at 14.8%, and Married at 14.4%. The other statuses listed were Divorced, Other Status, Separated, and Domestic Partner (Including Civil Union). The marital status was unknown for 36.8% of the client records. Reasons for Unknown values may include: recorded as Unknown in state reporting system or staff were not able to determine; and data submitted to NAMRS did not contain the information, presumably because the information was not collected.

0% 2% 4% 8% 10% 12% 14% 16% 18% 20% **Never Married** 18.1% Widowed 14.8% Married 14.4% Divorced 10.1% Other Status 4.2% Separated 1.4% **Domestic Partner** 0.1%

Exhibit CL-11a Clients - Marital Status FFY2017

Unknown not shown above.

Marital Status	# of States that Submitted	# of Clients	% of Clients (N=243,637)
Never Married	17	44,174	18.1%
Widowed	16	36,134	14.8%
Married	18	35,026	14.4%
Divorced	18	24,641	10.1%
Other Status	10	10,271	4.2%
Separated	16	3,489	1.4%
Domestic Partner (Including Civil Union)	6	182	0.1%
Unknown	17	89,720	36.8%

#### **CL-11b Victims - Substantiated Maltreatment Type by Marital Status**

"Exhibit CL-11b Victims - Substantiated Maltreatment Type by Marital Status FFY2017" presents data submitted by seven states representing 18,011 victims, first for all substantiated victims and then for all victims of each type of substantiated maltreatment. A victim may have substantiation of multiple types of maltreatment, in which case that victim would be included in the column of every type of maltreatment that was substantiated. This cross tabulation excludes ten states' records due to the presence of too much missing data (more than 25%). The most frequent marital status was Never Married among all substantiated victims (25.5%) and for victims of substantiated Sexual Abuse (52.5%), Abandonment (31.4%), Self-Neglect (30.6%), Physical Abuse (28.1%), and Neglect (24.6%).

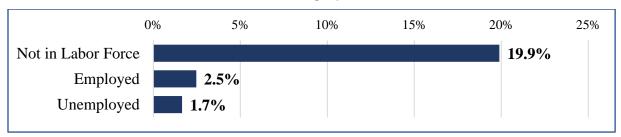
Exhibit CL-11b Victims - Substantiated Maltreatment Type by Marital Status FFY2017

Marital Status	All Victims	Abandonment	Emotional abuse	Exploitation	Neglect	Physical Abuse	Sexual Abuse	Self-Neglect	Other Type
Never Married	25.5%	31.4%	21.1%	14.8%	24.6%	28.1%	52.5%	30.6%	18.0%
Divorced/Separated	19.9%	22.9%	32.4%	28.1%	19.6%	22.6%	15.5%	13.1%	19.3%
Widowed	19.1%	17.1%	16.1%	22.4%	20.6%	15.8%	12.4%	18.6%	21.0%
Married/Partnered	18.1%	10.0%	24.7%	19.5%	22.6%	25.5%	11.9%	12.0%	16.5%
Other Status	12.7%	17.1%	2.4%	9.6%	7.5%	5.0%	5.8%	20.9%	11.5%
Unknown	4.7%	1.4%	3.4%	5.7%	5.3%	3.1%	1.8%	4.8%	13.7%
Total	18,011	70	3,410	3,951	4,302	2,490	394	7,298	844

## **CL-12 Clients - Employment Status**

Five states included data on employment status (47,592 client records of which 11,632 records included employment status). "Exhibit CL-12 Clients - Employment Status FFY2017" indicates that 2.5% of records noted that the client was employed, while 19.9% had an employment status of Not in Labor Force.

Exhibit CL-12 Clients - Employment Status FFY2017



Categories less than 1% not shown above. Unknown not shown above.

Employment	# of States that	<b>Employment Status</b>	% of Clients
Status	Submitted	Count	(N=47,592)
Not in Labor Force	3	9,467	19.9%
Employed	4	1,174	2.5%
Unemployed	4	787	1.7%
Other Status	2	204	0.4%
Unknown	5	35,960	75.6%

#### **CL-13 Clients - Income Level**

Eight states reported the income level of the client (57,729 client records of which 27,735 include income level). As shown in "Exhibit CL-13 Clients - Income Level FFY2017" a total of 42.6% of client records indicated an income less than \$25,000. If known, the level of annual income of the client including all sources (public and private) was provided. The income level for 52% of the client records submitted by seven states was unknown. Reasons for Unknown values may include: recorded as Unknown in state reporting system or staff were not able to determine; and data submitted to NAMRS did not contain the information, presumably because the information was not collected.

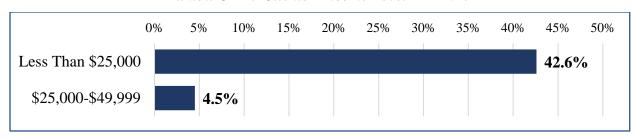


Exhibit CL-13 Clients - Income Level FFY2017

Categories less than 1% not shown above. Unknown not shown above.

Income level	# of States that Submitted	# of Clients	% of Clients (N=57,729)
Less Than \$25,000	8	24,585	42.6%
\$25,000-\$49,999	7	2,591	4.5%
\$50,000-\$74,999	7	410	0.7%
\$75,000-\$99,999	7	63	0.1%
\$100,000 or More	7	86	0.1%
Unknown	7	29,994	52.0%

#### CL-14a Clients - Benefits

Benefits refer to federal and state financial resources received by the client during the time of the investigation. A state could submit multiple benefits for each client therefore, the data table percentages will not equal 100%. "Exhibit CL-14a Clients - Benefits FFY2017" shows nine states provided data on the benefits received by clients (100,638 client records submitted, of which 47,770 included at least one benefit). The top four benefits were Medicaid at 19.6%, Medicare at 18.6%, Social Security Income at 16.8%, and Social Security Retirement at 15.3%. Other Benefits include Social Security Disability Insurance, Publicly-Subsidized Housing, Temporary Assistance for Needy Families (TANF), Veterans' Disability, and Other. Benefits received was unknown for 52.5% of client records from eight states. Reasons for Unknown values may include: recorded as Unknown in state reporting system or staff were not able to determine; and data submitted to NAMRS did not contain the information, presumably because the information was not collected.

0% 10% 20% 25% 5% 15% Medicaid 19.6% Medicare 18.6% Supplemental Security 16.8% Income (SSI) Social Security 15.3% Retirement Benefits Other Benefits 12.5%

Exhibit CL-14a Clients - Benefits FFY2017

Categories less than 1% not shown above. Unknown not shown above.

Benefits	# of States that Submitted	Benefits Count	% of Clients (N=100,638)
Medicaid	7	19,765	19.6%
Medicare	7	18,695	18.6%
Supplemental Security Income (SSI)	9	16,878	16.8%
Social Security Retirement Benefits	8	15,373	15.3%
Other Benefits	6	12,541	12.5%
Social Security Disability Insurance (SSDI)	6	781	0.8%
Veterans' Disabled Benefits	8	500	0.5%
Publicly-Subsidized Housing	3	133	0.1%
Temporary Assistance for Needy Families (TANF)	2	10	0.01%
Unknown	8	52,868	52.5%

## **CL-14b Clients - Multiple Benefits**

Nine states submitted 100,638 client records providing data on client benefits. "Exhibit CL-14b Clients - Multiple Benefits FFY2017" indicates that 52.5% of clients had no benefits, 22.5% of clients received one benefit, 15.8% received two benefits, and 9.2% received three or more benefits.

Exhibit CL-14b Clients - Multiple Benefits FFY2017

Clients with Multiple Benefits	# of States that Submitted	# of Clients	% of Clients (N=100,638)
No Benefit Submitted	8	52,868	52.5%
Only 1 Benefit	9	22,625	22.5%
2 Benefits	9	15,865	15.8%
3 or More Benefits	8	9,280	9.2%

#### **CL-15 Clients - Veteran Status**

Ten states reported data on veteran status (70,076 client records submitted, of which 41,931 included veteran status). The graph in "Exhibit CL 15 Clients - Veteran Status FFY2017" shows that 4.4% of clients were veterans and 55.5% were not veterans for FFY2017.

Veteran 4.4%

Unknown 40.2%

Non-Veteran 55.4%

Exhibit CL-15 Clients - Veteran Status FFY2017

Unknown not shown above.

Veteran Status	# of States that Submitted	# of Clients	% of Clients (N=70,076)
Veteran	10	3,055	4.4%
Non-Veteran	10	38,876	55.5%
Unknown	6	28,145	40.2%

#### **CL-16a Clients - Disabilities**

Disabilities include the clients' physical, emotional, and cognitive difficulties that result in limitation in activities and restrictions to fully participate at school, work, or in the community. More than one disability could be selected for one client therefore, the data table percentages will not equal 100%. "Exhibit CL-16a Clients - Disabilities FFY2017" reflects clients' disabilities data from 15 states (237,828 client records submitted, of which 139,978 included at least one disability). The three highest reported difficulties experienced by clients were Cognitive at 29.3%, Ambulatory at 26.3%, and Independent Living at 20.3%. The other difficulties are

Communication, Hearing, Self-Care, Vision, and Other Difficulty. The values of None and Unknown indicate that there was no disability determined or it was unknown if the client had a disability.

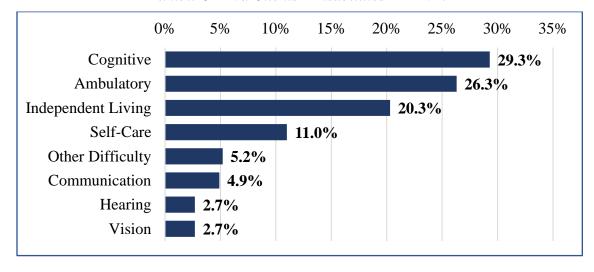


Exhibit CL-16a Clients - Disabilities FFY2017

None and Unknown not shown above.

Disability	# of States that Submitted	Disabilities Count	% of Clients (N=237,828)
Cognitive	15	69,650	29.3%
Ambulatory	12	62,633	26.3%
Independent Living	10	48,230	20.3%
Self-Care	10	26,123	11.0%
Other Difficulty	8	12,360	5.2%
Communication	12	11,673	4.9%
Hearing	8	6,480	2.7%
Vision	10	6,315	2.7%
None	8	12,124	5.1%
Unknown	14	85,726	36.0%

#### **CL-16b Clients - Multiple Disabilities**

Fifteen states submitted 237,828 client records with information regarding client disabilities. "Exhibit CL-16b Clients - Multiple Disabilities FFY2017" indicates the number of states submitting and the number of clients with multiple disabilities. As reported by 15 states, the majority of client records, 41.1%, had no client disability submitted. Fourteen states' client records had only one disability for 33.4% of clients. Thirteen states reported that 13.6% of clients had two

disabilities. Twelve states' client records indicated that 7.1% of clients had three disabilities, while 4.8% of clients had four or more disabilities.

Exhibit CL-16b Clients - Multiple Disabilities FFY2017

Clients with Multiple Disabilities	# of States that Submitted	# of Clients	% of Clients (N=237,828)
No Disability Submitted	15	97,850	41.1%
Only 1 Disability	14	79,385	33.4%
2 Disabilities	13	32,245	13.6%
3 or More Disabilities	12	16,985	7.1%
4 or More Disabilities	11	11,363	4.8%

#### **CL-16c Victims - Substantiated Maltreatment Type by Disabilities**

"Exhibit CL-16c Victims - Substantiated Maltreatment Type by Disabilities FFY2017" presents data submitted by 13 states representing 77,058 victims, first for all substantiated victims and then for all victims of each type of substantiated maltreatment. A victim may have substantiation of multiple types of maltreatment, in which case that victim would be included in the column of every type of maltreatment that was substantiated. This exhibit collapses the disabilities into four categories: Functional Difficulty (including ambulatory difficulty, independent living difficulty, and self-care difficulty), Cognitive Difficulty, Communication/Sensory Difficulty (including communication difficulty, hearing difficulty, and vision difficulty), and Other Difficulty. Each victim can have multiple disabilities, so the percentages in each column may add up to more than 100%. The most frequent disability was Functional Difficulty among all substantiated victims (43.8%) and for victims of each type of substantiated maltreatment except for Sexual Abuse and Other Type.

Exhibit CL-16c Victims - Substantiated Maltreatment Type by Disabilities FFY2017

Disabilities	All Victims	Abandonment	Emotional abuse	Exploitation	Neglect	Physical Abuse	Sexual Abuse	Self-Neglect	Other Type
Functional Difficulty	43.8%	87.8%	22.1%	33.6%	42.8%	28.9%	22.6%	47.2%	1.7%
Cognitive Difficulty	19.5%	63.4%	14.7%	26.8%	38.9%	24.1%	39.6%	17.2%	21.1%
Communication/ Sensory Difficulty	9.7%	26.8%	20.2%	17.1%	21.6%	15.5%	17.0%	7.8%	0.6%
Other Difficulty	3.2%	-	3.2%	9.1%	6.7%	5.2%	12.7%	2.2%	2.3%
None	1.1%	-	4.1%	4.8%	1.1%	3.2%	2.8%	0.6%	-
Total	77,058	41	3,444	6,301	6,898	3,800	212	62,564	814

#### **CL-17 Clients - ADL and IADL Score**

One state provided client scores for activities of daily living (ADL) and instrumental activities of daily living (IADL), as shown in "Exhibit CL-17 Clients - ADL and IADL Score FFY2017." This exhibit includes 14,980 records with an ADL score and 14,869 records with an IADL score (of 18,921 records submitted). ADL and IADL scores were unknown for 21.4% of client records. Reasons for Unknown values may include: recorded as Unknown in state reporting system or staff were not able to determine; and data submitted to NAMRS did not contain the information, presumably because the information was not collected. The highest IADL score of eight was recorded for 32.2% of the clients. The highest ADL score of six was recorded for 30.4% of the clients. Clients typically are assessed for both ADL and IADL.

Many states use the ADL and IADL assessment instruments, but do not record the information as a data element in the state reporting system. "ADL are self-care activities that a person performs daily (e.g., eating, dressing, bathing, transferring between the bed and a chair, using the toilet, controlling bladder and bowel functions). IADL are activities that are needed to live independently (e.g., doing housework, preparing meals, taking medications properly, managing finances, using a telephone)." <sup>2</sup>

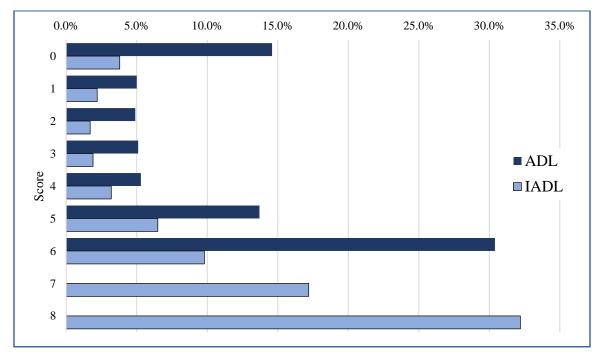


Exhibit CL-17 Clients - ADL and IADL Score FFY2017

Unknown now shown above.

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<sup>&</sup>lt;sup>2</sup> American Academy of Family Physicians. "The Geriatric Assessment"

Clients - ADL and IADL Score FFY2017

Score	ADL Score Counts	% of Clients (N=18,921)	IADL Score Counts	% of Clients (N=18,921)
0	2,766	14.6%	713	3.8%
1	953	5.0%	415	2.2%
2	922	4.9%	330	1.7%
3	974	5.1%	360	1.9%
4	1,009	5.3%	606	3.2%
5	2,595	13.7%	1,232	6.5%
6	5,761	30.4%	1,851	9.8%
7	NA	NA	3,262	17.2%
8	NA	NA	6,100	32.2%
Unknown	3,941	20.8%	4,052	21.4%

#### **CL-18a Clients - Behavioral Health Conditions**

NAMRS has eight distinct behavioral health screenings or diagnoses data elements plus Other Condition. States could submit multiple behavioral health conditions for each client therefore, the data table percentages will not equal 100%. Nine states reported on clients' behavioral health screenings or diagnoses (192,262 records submitted, of which 53,173 included at least one condition). "Exhibit CL-18a Clients - Behavioral Health Conditions FFY2017" shows that the most common client behavioral health conditions were Other (18.3%), Dementia (4.9%), and Depression (2.3%). Some state examples of Other are delusional, organic brain syndrome, borderline personality, and emotional disorder. Reasons for Unknown values may include: recorded as Unknown in state reporting system or staff were not able to determine; and data records submitted to NAMRS did not contain the information, presumably because the information was not collected.

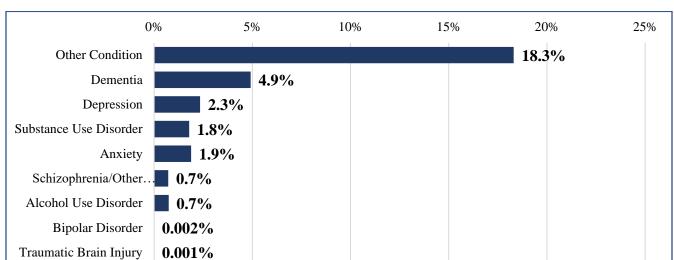


Exhibit CL-18a Clients - Behavioral Health Conditions FFY2017

None and Unknown not shown above.

Behavioral Health Condition	# of States that Submitted	Behavioral Condition Count	% of Clients (N=192,262)
Other Condition	6	35,199	18.3%
Dementia	6	9,441	4.9%
Depression	5	4,505	2.3%
Substance Use Disorder	4	3,429	1.8%
Anxiety	4	3,620	1.9%
Schizophrenia and Other Psychotic Disorders	4	1,388	0.7%
Alcohol Use Disorder	5	1,421	0.7%
Bipolar Disorder	2	4	0.002%
Traumatic Brain Injury	1	1	0.001%
None	5	22,925	11.9%
Unknown	6	116,164	60.4%

#### **CL-18b Clients - Multiple Behavioral Health Conditions**

Nine states submitted 192,262 records with information about clients' behavioral health conditions. "Exhibit CL-18b Clients - Multiple Behavioral Health Conditions FFY2017" indicates most client records, 72.3%, listed no condition and 25.2% indicated only one condition.

<b>Clients with Multiple Conditions</b>	# of States	# of Clients	% of Clients (N=192,262)
No Condition Submitted	9	139,089	72.3%
Only 1 Condition	9	48,428	25.2%
2 Conditions	5	3,803	2.0%
3 or More Conditions	5	942	0.5%

Exhibit CL-18b Clients - Multiple Behavioral Health Condition FFY2017

#### CL-19 Clients - Living Settings at Start and Close

NAMRS requests information about clients' primary living setting at the start of the investigation and close of the investigation. The living setting at the start may not be the same location as the maltreatment setting. For example, the client may live in their own residence, but the maltreatment may have occurred at an Adult Day Services Center. Eight states reported clients' living setting at both the start and close of the investigation. "Exhibit CL-19 Clients - Living Settings at Start and Close FFY2017" indicates that clients lived in their own residence or residence of a relative or caregiver at both the start and close of the investigation in 60.3% of records. A living setting was not included for 21.6% of client records. Reasons for Unknown values for the living setting may include: recorded as Unknown in state reporting system; and data submitted to NAMRS did not contain the information, presumably because the information was not collected.

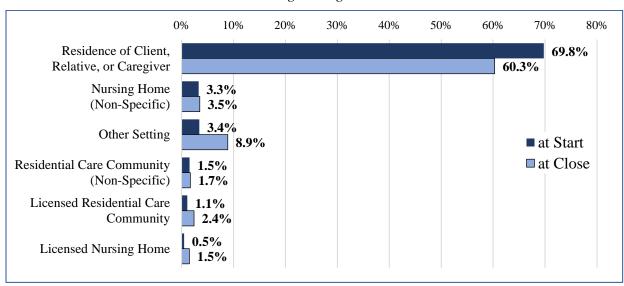


Exhibit CL-19 Clients - Living Setting at Start and Close FFY2017

Categories less than 1% not shown above. Unknown not shown above.

Clients - Living Setting at Start and Close	Start Counts	% of Clients (N=93,440)	Close Counts	% of Clients (N=93,440)
Residence of Client, Relative, or Caregiver	65,181	69.8%	56,344	60.3%
Nursing Home (Non-Specific)	3,074	3.3%	3,235	3.5%
Other Setting	3,188	3.4%	8,356	8.9%
Residential Care Community (Non-Specific)	1,440	1.5%	1,558	1.7%
Licensed Residential Care Community	1,034	1.1%	2,273	2.4%
Licensed Nursing Home	466	0.5%	1,437	1.5%
Non-Licensed Residential Care Community	39	0.04%	84	0.1%
Non-Licensed Nursing Home	-	-	1	0.001%
Unknown	19,018	20.4%	20,152	21.6%

#### CL-20a Clients - Substitute Decision Makers at Start and Close

NAMRS requests information about clients' substitute decision makers at the start and close of the investigation. It includes authorizations that are in effect and related to health, personal, or financial decision making for the client. States may submit multiple substitute decision maker code values for each client. There are six data values defining substitute decision makers and an option for None and Unknown. Three states reported information about substitute decision makers at both start and close (28,788 client records submitted). There were 474 records that included at least one decision maker at the start of the investigation and 515 records that included at least one decision maker at the close of the investigation. "Exhibit CL-20a Clients - Substitute Decision Makers at Start and Close FFY2017" shows the most common substitute decision maker as Guardianship or Conservatorship of Person.

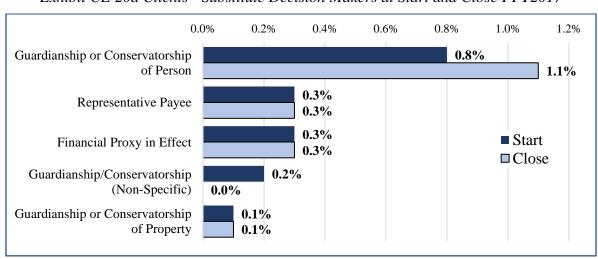


Exhibit CL-20a Clients - Substitute Decision Makers at Start and Close FFY2017

Categories less than 0.1% not shown above. None and Unknown not shown above.

Clients - Substitute Decision Makers at Start and Close FFY2017

Substitute Decision Maker	Start Counts	% of Clients (N=28,788)	Close Counts	% of Clients (N=28,788)
Guardianship or Conservatorship of Person	235	0.8%	313	1.1%
Representative Payee	96	0.3%	90	0.3%
Financial Proxy in Effect	83	0.3%	93	0.3%
Guardianship/Conservatorship (Non-Specific)	45	0.2%	-	0.0%
Guardianship or Conservatorship of Property	16	0.1%	22	0.1%
Health Care Proxy in Effect	4	0.01%	3	0.01%
None	7321	25.4%	7383	25.6%
Unknown	20993	72.9%	20890	72.6%

#### CL-20b Clients - Multiple Substitute Decision Makers at Start and Close

Seven states provided a total of 28,788 client records with information about substitute decision makers. Of the records submitted, 474 included at least one substitute decision maker at the start of the investigation and 515 included at least one substitute decision maker at the close of the investigation. "Exhibit CL-20b Clients - Multiple Substitute Decision Makers at Start and Close FFY2017" indicates that most client records, 98.2%, indicated no substitute decision maker at the close of the investigation. One substitute decision maker was recorded for 1.6% of clients at the start, and 1.8% of clients at the close.

Exhibit CL-20b Clients - Multiple Substitute Decision Makers at Start and Close FFY2017

Clients with Multiple Substitute Decision Makers	Start Counts	% of Clients (N=28,788)	Close Counts	% of Clients (N=28,788)
No Substitute Decision Maker Submitted	28,314	98.4%	28,273	98.2%
Only 1 Substitute Decision Maker	469	1.6%	509	1.8%
2 or More Substitute Decision Makers	5	0.02%	6	0.02%

#### **CL-21a Clients - Services at Start**

NAMRS requests client services data at start and close of an investigation, services provided by APS, and referred for services. States could submit multiple service code values therefore, the data table percentages will not equal 100%. "Exhibit CL-21a Clients - Services at Start FFY2017" includes the services known to the agency that the client was already receiving at the start of the investigation. Eight states provided client services information at the start of the investigation (81,146 client records submitted, of which 38,511 had at least one service). The top three identified Services at Start were Victim Services at 17.1%, Other Services at 15.7%, and Care/Case Management Services at 14.3%. Examples of Other Services include Guardianship/Court Support Services, Informal Supports, and Nursing Home Transition Services. None and Unknown services

were noted in 52.5% of records. Reasons for Unknown values for services may include: recorded as Unknown in state reporting system; and data submitted to NAMRS did not contain the information, presumably because the information was not collected.

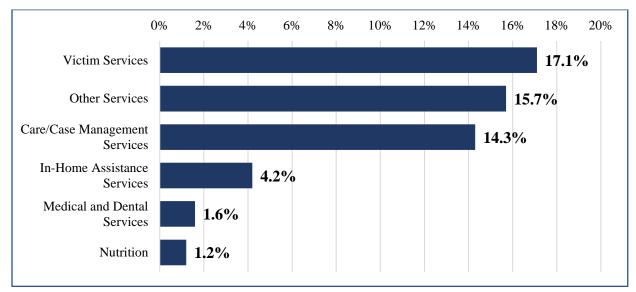


Exhibit CL-21a Clients - Services at Start FFY2017

 $Categories\ less\ than\ 1\%\ not\ shown\ above.\ None\ and\ Unknown\ not\ shown\ above.$ 

Services at Start	# of States that Submitted	Services Count	% of Clients (N=81,146)
Victim Services	2	13,901	17.1%
Other Services	7	12,760	15.7%
Care/Case Management Services	7	11,618	14.3%
In-Home Assistance Services	6	3,432	4.2%
Medical and Dental Services	6	1,283	1.6%
Nutrition	6	992	1.2%
Mental Health Services	5	597	0.7%
Education, Employment, and Training Services	3	369	0.5%
Medical Rehabilitation Services	4	224	0.3%
Legal Services	3	170	0.2%
Transportation	4	136	0.2%
Housing and Relocation Services	3	108	0.1%
Community Day Services	4	85	0.1%
Caregiver Support Services	3	82	0.1%
Substance Use Services	3	31	0.04%

Services at Start	# of States that Submitted	Services Count	% of Clients (N=81,146)
Emergency Assist/Material Aid Services	2	18	0.02%
Financial Planning Services	1	1	0.001%
Public assistance Benefits	1	1	0.001%
None	3	1,996	2.5%
Unknown	8	40,639	50.1%

## **CL-21b Clients - Multiple Services at Start**

Eight states submitted 81,146 records. States could submit multiple service code values for each client. "Exhibit CL-21b Clients - Multiple Services at Start FFY2017" indicates the number of clients that had services at the start of the investigation. Most client records, 52.5%, did not include a service; 40.6% indicated only one service; and 5.2% reported two services were received.

Exhibit CL-21b Clients - Multiple Services at Start FFY2017

<b>Clients with Multiple Services</b>	# of States	# of Clients	% of Clients (N=81,146)
No Service Submitted	8	42,635	52.5%
Only 1 Service	8	32,942	40.6%
2 Services	7	4,251	5.2%
3 or More Services	7	1318	1.6%

#### **CL-22a Clients - Services Provided by APS**

NAMRS requests client services data about four distinct ways services information is collected start and close of investigation, provided by APS, and referred for services. States could submit multiple service code values for each client therefore, the data table percentages will not equal 100%. "Exhibit CL-22a Clients - Services Provided by APS FFY2017" includes the services that the agency provided on behalf of the client during the investigation or while the agency kept an open case. Eight states reported data regarding services provided by APS (98,413 records submitted of which 27,053 records included at least one service). The three most common services reported were Victim Services (14.4%), Care/Case Management Services (5.3%), and In-Home Assistance Services (3.9%).

0% 2% 4% 6% 14% 16% 8% 10% 12% Victim Services 14.4% Care/Case Management 5.3% Services 3.9% In-Home Assistance Services Financial Planning Services 3.4% Legal Services 3.3% Housing and Relocation 3.2% Services Mental Health Services 2.8% 2.7% Other services 2.7% **Public Assistance Benefits** 

Exhibit CL-22a Clients - Services Provided by APS FFY2017

Categories less than 2% not shown above. None and Unknown not shown above.

Services Provided by APS	# of States that Submitted	Services Count	% of Clients (N=98,413)
Victim Services	4	14,215	14.4%
Care/Case Management Services	7	5,204	5.3%
In-Home Assistance Services	6	3,816	3.9%
Financial Planning services	3	3,314	3.4%
Legal Services	6	3,274	3.3%
Housing and Relocation Services	5	3,187	3.2%
Mental Health Services	3	2,747	2.8%
Other Services	5	2,692	2.7%
Public Assistance Benefits	4	2,624	2.7%
Emergency Assist/Material Aid Services	3	1,479	1.5%
Medical and Dental Services	4	1,127	1.1%
Nutrition	5	505	0.5%
Transportation	6	385	0.4%
Caregiver Support Services	3	115	0.1%
Substance Use Services	1	112	0.1%
Community Day Services	4	110	0.1%

Services Provided by APS	# of States that Submitted	Services Count	% of Clients (N=98,413)
Medical Rehabilitation Services	1	7	0.01%
Education, Employment, and Training Services	1	1	0.001%
None	2	21,430	21.8%
Unknown	7	49,930	50.7%

## **CL-22b Clients - Multiple Services Provided by APS**

States could submit multiple service code values for each client. "Exhibit 22b Clients - Multiple Services Provided by APS FFY2017" indicates the number of clients that received services provided by APS during the investigation. A total of 98,413 records that included data on services provided by APS were submitted by eight states. Most client records, 72.5%, did not include a service and 18% indicated only one service received.

Exhibit CL-22b Clients - Multiple Services Provided by APS FFY2017

<b>Clients with Multiple Services</b>	# of States	# of Clients	% of Clients (N=98,413)
No Service Submitted	8	71,360	72.5%
Only 1 Service	8	17,754	18.0%
2 Services	8	4,849	4.9%
3 Services	7	2,229	2.3%
4 Services	5	1137	1.2%
5 Services	2	571	0.6%
6 or More Services	1	513	0.5%

#### CL-23a Clients - Services Referred

NAMRS requests client services data about four distinct ways services information is collected start and close of investigation, provided by APS, and referred for services. "Exhibit CL-23a Clients - Services Referred FFY2017" shows the services for which the agency referred the client. Eleven states provided data about referrals for services for clients (102,425 records submitted, of which 46,516 records contained at least one service). The most commonly chosen responses were None or Unknown at 54.6% and Other Services at 29% of records. Some examples of Other Services include burial/cremation, Alzheimer's/dementia education, public health, animal control, and consultation. Care/Case Management Services was noted for 5.9% of clients and Medical and Dental Services was reported for 4% of clients.

0% 5% 10% 15% 20% 25% 30% 35% 29.0% Other Services Care/Case Management 5.9% Services Medical and Dental Services 4.0% 4.0% Mental Health Services 2.9% Legal Services In-Home Assistance Services 2.8% Emergency Assist/Material 2.6% Aid Services

Exhibit CL-23a Clients - Services Referred FFY2017

Categories less than 2% not shown above. None and Unknown not shown above.

2.4%

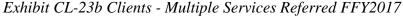
Housing and Relocation Services

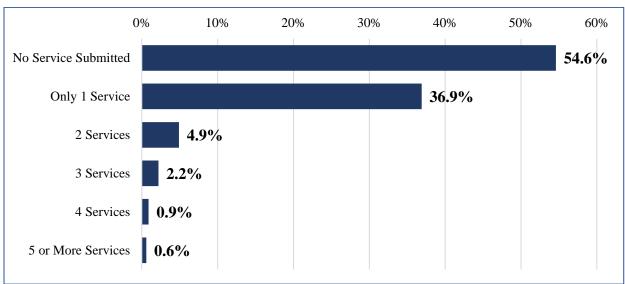
Services Referred	# of States that Submitted	Services Count	% of Clients (N=102,425)
Other Services	8	29,752	29.0%
Care/Case Management Services	6	6,024	5.9%
Medical and Dental Services	7	4,103	4.0%
Mental Health Services	7	4,095	4.0%
Legal Services	9	2,956	2.9%
In-Home Assistance Services	8	2,897	2.8%
Emergency Assist/Material Aid Services	5	2,626	2.6%
Housing and Relocation Services	8	2,442	2.4%
Public Assistance Benefits	7	1434	1.4%
Victim Services	6	1033	1.0%
Community Day Services	7	985	1.0%
Transportation	7	679	0.7%
Caregiver Support Services	5	618	0.6%
Financial Planning Services	6	580	0.6%
Nutrition	7	495	0.5%
Medical Rehabilitation Services	4	466	0.5%

Services Referred	# of States that Submitted	Services Count	% of Clients (N=102,425)
Education, Employment, and Training Services	4	115	0.1%
Substance Use Services	5	109	0.1%
None	3	12,956	12.6%
Unknown	8	42,953	41.9%

## **CL-23b Clients - Multiple Services Referred**

Multiple service code values can be submitted for the client. "Exhibit CL-23b Clients - Multiple Services Referred FFY2017" indicates the number of clients that APS referred to services. Ten states submitted 102,425 records, which included information on services referred. Most client records, 54.6%, did not include a service and 36.9% indicated only one service.





<b>Clients with Multiple Services</b>	# of States	# of Clients	% of Clients (N=102,425)
No Service Submitted	10	55,909	54.6%
Only 1 Service	11	37,746	36.9%
2 Services	10	5,017	4.9%
3 Services	8	2,284	2.2%
4 Services	8	903	0.9%
5 or More Services	7	566	0.6%

#### **CL-24a Clients - Services at Close**

NAMRS requests client services data about four distinct ways services information is collected start and close of investigation, provided by APS, and referred for services. "Exhibit 24a Client - Services at Close FFY2017" includes the services known to the agency that the client was receiving at the time of investigation closure. Six states provided information about clients' services at case closure (76,883 records submitted, of which 25,273 records included at least one service). The four most common services reported were Victim Services (16.9%), In-Home Services (7%), Care/Case Management Services (4.3%), and Legal Services (4.3%). None or Unknown services was noted for 67.1% of clients. Reasons for Unknown values may include: recorded as Unknown in state reporting system or staff were not able to determine; and data submitted to NAMRS did not contain the information, presumably because the information was not collected.

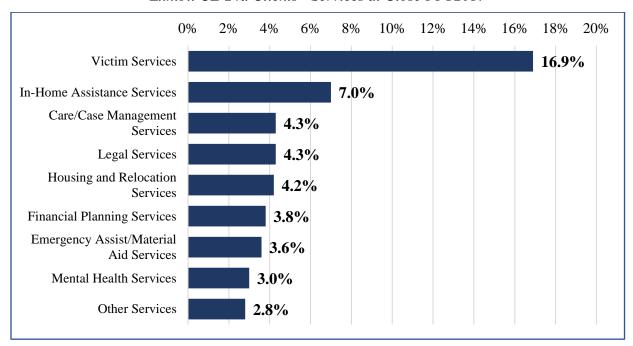


Exhibit CL-24a Clients - Services at Close FFY2017

Categories less than 2% not shown above. None and Unknown not shown above.

Services at Close	# of States that Submitted	Services Count	% of Clients (N=76,883)
Victim Services	4	13,011	16.9%
In-Home Assistance Services	5	5,355	7.0%
Care/Case Management Services	5	3,340	4.3%
Legal Services	4	3,329	4.3%
Housing and Relocation Services	3	3,249	4.2%
Financial Planning Services	3	2,938	3.8%

Services at Close	# of States that Submitted	Services Count	% of Clients (N=76,883)
Emergency Assist/Material Aid Services	3	2,795	3.6%
Mental Health Services	5	2,301	3.0%
Other Services	5	2,182	2.8%
Public Assistance Benefits	3	1,486	1.9%
Nutrition	5	1,042	1.4%
Medical and Dental Services	3	885	1.2%
Transportation	4	389	0.5%
Caregiver Support Services	4	383	0.5%
Community Day Services	4	150	0.2%
Substance Use Services	3	114	0.1%
Medical Rehabilitation Services	3	84	0.1%
Education, Employment, and Training Services	2	35	0.05%
None	2	16,295	21.2%
Unknown	6	35,315	45.9%

## **CL-24b Clients - Multiple Services at Close**

Six states submitted 76,883 records, which included information on services at the close of investigation. "Exhibit CL-24b Clients - Multiple Services at Close FFY2017" indicates the number of services known to the agency that the client was receiving at the time of investigation closure. Most client records, 67.1%, did not include a service and 19.8% indicated only one service.

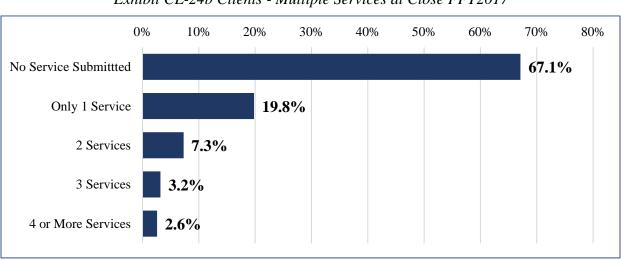


Exhibit CL-24b Clients - Multiple Services at Close FFY2017

Clients with Multiple Services at Close	# of States that Submitted	# of Clients	% of Clients (N=76,883)
No Service Submitted	6	51,610	67.1%
Only 1 Service	6	15,192	19.8%
2 Services	5	5,628	7.3%
3 Services	5	2,466	3.2%
4 or More Services	5	1,987	2.6%

## **CL-25a Clients - Interagency Coordination**

Nine states provided data about Interagency Coordination activities for clients as noted in "Exhibit CL-25a Clients - Interagency Coordination FFY2017" (86,993 client records submitted, of which 11,889 included at least one interagency coordination). The interagency coordination data elements are Law Enforcement or Prosecutorial Offices, Protection and Advocacy or Client Advocacy Program, State Licensing Agency, State Medicaid Fraud Control Unit, Long-Term Care Ombudsman Program, Other Agency, and None. The three most commonly reported interagency coordination entities were Law Enforcement or Prosecutorial Offices at 8.8%, State Licensing Agency at 8.0%, and Other Agency at 0.5%. None or Unknown was noted for 86.3% of records submitted. Reasons for Unknown values may include: recorded as Unknown in state reporting system or staff were not able to determine; and data submitted to NAMRS did not contain the information, presumably because the information was not collected.

0% 1% 10% 9% 2% 3% 4% 5% 6% 7% 8% Law Enforcement or 8.8% **Prosecutorial Offices** State Licensing Agency 8.0% Other Agency 0.5% Long Term Care Ombudsman Program State Medicaid Fraud Control Unit (MFCU)

Exhibit CL-25a Clients - Interagency Coordination FFY2017

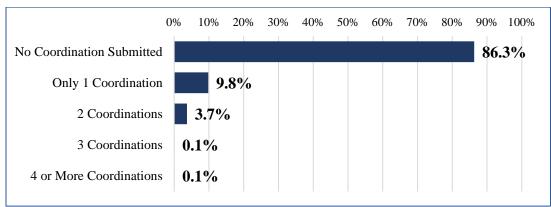
Categories less than 0.1% not shown above. Unknown not shown above.

Clients - Interagency Coordination FFY2017	# of States that Submitted	Coordination Count	% of clients (N=86,993)
Law Enforcement or Prosecutorial Offices	9	7,690	8.8%
State Licensing Agency	8	6,963	8.0%
Other Agency	7	438	0.5%
Long Term Care Ombudsman Program	4	193	0.2%
State Medicaid Fraud Control Unit (MFCU)	3	134	0.2%
Protection/Advocacy/Client Advocacy Program (CAP)	4	35	0.04%
None	3	5,733	6.6%
Unknown	8	69,371	79.7%

## **CL-25b Clients - Multiple Interagency Coordination**

States could submit multiple interagency coordination code values for each client. Nine states submitted 86,993 records with Interagency Coordination information. "Exhibit CL-25b Clients - Multiple Interagency Coordination FFY2017" indicates the number of coordinations for clients. Most client records, 86.3%, did not include a coordination and 9.8% indicated one coordination.

Exhibit CL-25b Clients - Multiple Interagency Coordination FFY2017



<b>Clients with Multiple Coordinations</b>	# of States that Submitted	# of Clients	% of Clients (N=86,993)
No Coordination Submitted	3	75,104	86.3%
Only 1 Coordination	9	8,549	9.8%
2 Coordinations	8	3,186	3.7%
3 Coordinations	6	84	0.1%
4 or More Coordinations	2	70	0.1%

#### **CL-26a Clients - Previous Reports**

Fourteen states reported on clients' previous reports of maltreatment and the data are displayed in "Exhibit CL-26a Clients - Previous Reports FFY2017" (287,371 records submitted, of which 273,788 included previous reports). Twelve states reported that 56.1% of the records submitted contained the No Previous Reports data element, while 13 states indicated 39.2% of clients had previous reports of maltreatment. Unknown was noted for 4.7% of client records submitted by two states. Reasons for Unknown values may include: recorded as Unknown in state reporting system or staff were not able to determine; and data submitted to NAMRS did not contain the information, presumably because the information was not collected.

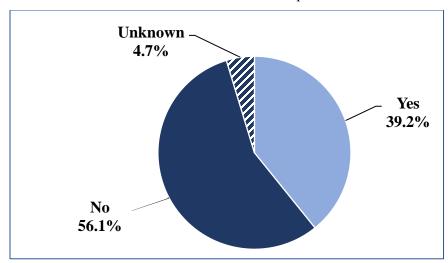


Exhibit CL-26a Clients - Previous Reports FFY2017

<b>Previous Reports</b>	# of States that Submitted	# of Clients	% of clients (N=287,371)
Yes	13	112,569	39.2%
No	12	161,219	56.1%
Unknown	2	13,583	4.7%

#### CL-26b Victims - Substantiated Maltreatment Type by Previous Report

"Exhibit CL-26b Victims - Substantiated Maltreatment Type by Previous Report FFY2017" presents data submitted by 10 states representing 86,039 victims, first for all substantiated victims and then for all victims of each type of substantiated maltreatment. A victim may have substantiation of multiple types of maltreatment, in which case that victim would be included in the column of every type of maltreatment that was substantiated. This cross tabulation excludes one states' records due to the presence of too much missing data (more than 25%). Most substantiated victims (51.7%) contained the No Previous Reports data element, as did victims of each type of substantiated maltreatment except for Abandonment (66.1% had Previous Report(s)) and Other Type (55.1% had Previous Report(s)).

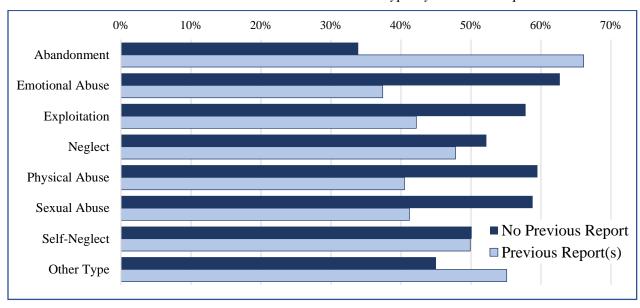


Exhibit CL-26b Victims - Substantiated Maltreatment Type by Previous Report FFY2017

Previous Reports	All Victims	Abandonment	Emotional Abuse	Exploitation	Neglect	Physical Abuse	Sexual Abuse	Self-Neglect	Other Type
Previous Report(s)	48.3%	66.1%	37.4%	42.2%	47.8%	40.5%	41.2%	49.9%	55.1%
No Previous Report	51.7%	33.9%	62.7%	57.8%	52.2%	59.5%	58.8%	50.1%	45.0%
Total	86,039	776	5,267	6,925	7,724	5,032	500	66,356	2,692

## **Case Component - Maltreatment Allegations**

#### **MA-1 Maltreatment Allegation Record Submission**

Twenty-six states submitted maltreatment allegations (483,455 records submitted). The NAMRS business convention associated with these two data elements requires that a record have both maltreatment and disposition types. Therefore, 100% of records included both data elements. "Exhibit MA-1 Maltreatment Allegation Record Submission FFY2017" displays information about the maltreatment type and disposition type.

Exhibit MA-1 Maltreatment Allegation Record Submission FFY2017

Data Element	# of States that Submitted	# of Records from States	# of Records with Data	% of Records from States with Data	% of Total Records (N=483,455)
Maltreatment Type	26	483,455	483,455	100.0%	100.0%
Disposition Type	26	483,455	483,455	100.0%	100.0%

#### **MA-2 Maltreatment Allegation - Types and Dispositions**

The maltreatment allegation types, displayed in "Exhibit MA-2 Maltreatment Allegation - Types and Dispositions FFY2017," are Abandonment, Emotional Abuse, Exploitation (Non-Specific), Financial Exploitation, Neglect, Physical Abuse, Sexual Abuse, Self-Neglect, Other Exploitation, and Other. NAMRS requires that each record have a maltreatment type. Therefore, all 26 reporting states had a maltreatment type identified. Twenty-six states submitted 483,455 records. The most common maltreatment types reported were Self-Neglect (39.9% of records reported by 24 states), Neglect (18.8% of records reported by 26 states), and Exploitation (11.2% reported by 19 states). Examples of Other maltreatments provided by states include abduction, confinement/isolation, coercion, and treatment without consent.

Exhibit MA-2 Maltreatment Allegation - Types and Dispositions FFY2017

Maltreatment Types	Total	Substantiated	Inconclusive	Unsubstantiated	Other
Abandonment	2,353	35.3%	9.1%	54.9%	0.8%
Emotional Abuse	47,025	14.1%	20.1%	49.2%	16.6%
Financial Exploitation	21,809	16.8%	6.4%	43.5%	33.4%
Exploitation (Non-Specific)	54,246	15.1%	23.0%	54.2%	7.8%
Other Exploitation	6,314	18.6%	17.1%	56.3%	8.0%
Neglect	90,756	13.2%	10.9%	62.2%	13.7%
Physical Abuse	49,236	13.9%	19.5%	54.4%	12.2%
Sexual Abuse	6,170	11.1%	21.6%	52.8%	14.5%
Self-Neglect	192,798	42.8%	6.1%	28.2%	22.9%
Other	12,748	33.5%	12.0%	40.1%	14.4%
All Maltreatments	483,455	26.2%	12.2%	44.0%	17.6%

#### MA-3 Maltreatment Allegation - Multiple Substantiation

Twenty-six states submitted data (361,185 clients represented) with substantiated maltreatment. "Exhibit MA-3 Maltreatment Allegation - Multiple Substantiation FFY2017" displays the data regarding multiple maltreatment allegation substantiations. There were no allegations of

maltreatment substantiated for 68.6% of clients, while 28.3% of victims had one maltreatment substantiated. There were multiple maltreatment substantiations for 3.2% percent of victims.

Exhibit MA-3 Maltreatment Allegation - Multiple Substantiation FFY2017

Substantiation	# of States that Submitted	# of Clients	% of Clients (N=361,185)
Clients with Substantiation Criteria Unmet	26	247,645	68.6%
Victims with 1 Substantiation	25	102,264	28.3%
Victims with 2 Substantiations	23	9,615	2.7%
Victims with 3 or More Substantiations	22	1,661	0.5%

## **Case Component – Perpetrators**

## **PR-1 Perpetrator Record Submission**

Some states record self-neglecters as being both the client and the perpetrator; however, this practice is far from universal. For all perpetrator exhibits, data are not presented for Self-Neglect. The NAMRS validation conventions require that substantiated maltreatment records have a unique perpetrator identification number if states collect perpetrator information. It was determined that the best approach for presenting perpetrator information was to exclude the Self-Neglect data element from a record where the victim of Self-Neglect was identified as the perpetrator. If the client had multiple maltreatments, then only the Self-Neglect maltreatment was excluded from the perpetrator data exhibits.

"Exhibit PR-1 Perpetrator Record Submission FFY2017" provides an overview of the 24 states that provided perpetrator information (34,869 perpetrator records submitted). Of the records submitted, 80.9% included perpetrator age while 86% reported the perpetrators' gender. Race was recorded for 61.9% of the perpetrators.

Exhibit PR-1 Perpetrator Record Submission FFY2017

Data Element	# of States that Submitted	# of Records from States	# of Records with Data	% of Records from States with Data	% of Total Records (N=34,869)
Perpetrator ID	24	34,869	34,869	100.0%	100.0%
Gender Identity	24	34,869	29,982	86.0%	86.0%
Race	17	31,286	19,352	61.9%	55.5%
Age	17	23,564	19,058	80.9%	54.7%
Ethnicity	12	25,628	10,914	42.6%	31.3%
Disabilities	5	12,695	5,491	43.3%	15.7%
Behavioral Health Screenings	4	8,893	1,775	20.0%	5.1%

### PR-2a Perpetrators - Age Group

Perpetrator age, shown in "Exhibit PR-2a Perpetrators - Age Group FFY2017," was reported by 17 states (23,564 perpetrators records of which 19,058 contained age information). These data, for Perpetrator Age Group, do not include victims with a substantiation of Self-Neglect. The age of the perpetrator is determined usually at the start of the investigation. The top age grouping for perpetrators was Unknown (19.1%). The largest age groups of perpetrators were Age 50-59 (15.9%) and Age 40-49 (14.1%). Because not all age groups encompass the same number of years (e.g., 70-74 is only five years), the largest age groups do not necessarily have the highest concentration of perpetrators per year of age.

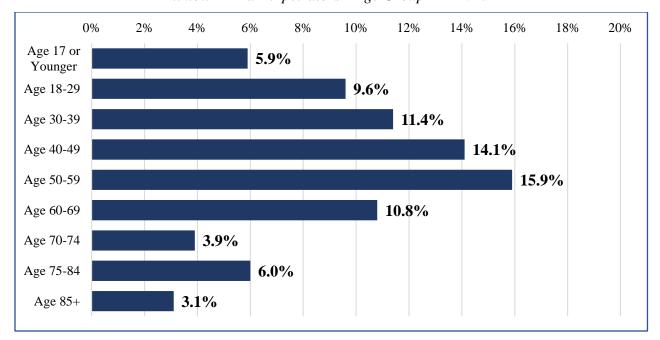


Exhibit PR-2a Perpetrators - Age Group FFY2017

Unknown not shown above.

Age Group	# of States that Submitted	# of Perpetrators	% of Perpetrators (N=23,564)
Age 17 or Younger	7	1,398	5.9%
Age 18-29	17	2,260	9.6%
Age 30-39	16	2,691	11.4%
Age 40-49	17	3,316	14.1%
Age 50-59	17	3,745	15.9%
Age 60-69	16	2,556	10.8%
Age 70-74	15	929	3.9%
Age 75-84	15	1,425	6.0%
Age 85+	11	738	3.1%
Unknown	14	4,506	19.1%

#### PR-2b Perpetrators - Substantiated Maltreatment Type by Age Group

"Exhibit PR-2b Perpetrators - Substantiated Maltreatment Type by Age Group FFY2017" presents data submitted by 10 states representing 18,357 perpetrators, first for all perpetrators and then for all perpetrators of each type of substantiated maltreatment. A perpetrator may have substantiation of multiple types of maltreatment, in which case that perpetrator would be included in the column of every type of maltreatment that was substantiated. This cross tabulation excludes six states' records due to the presence of too much missing data (more than 25%).

The largest age group was 50-59 among all perpetrators. This was also the largest age group for perpetrators of substantiated Neglect and Sexual Abuse. The largest age group for perpetrators of other substantiated maltreatment types were: 40-49 for Emotional Abuse, Exploitation, and Physical Abuse; 60-69 for Abandonment; and 75-84 for Other Type. Because not all age groups encompass the same number of years (e.g., 70-74 is only five years), the largest age groups do not necessarily have the highest concentration of perpetrators per year of age.

Exhibit PR-2b Perpetrators - Substantiated Maltreatment Type by Age Group FFY2017

Age Group	All Substantiated Perpetrators	Abandonment	Emotional Abuse	Exploitation	Neglect	Physical Abuse	Sexual Abuse	Other Type
Age 17 or Younger	7.6%	-	5.9%	7.6%	8.6%	9.6%	15.5%	5.3%
Age 8-29	11.5%	4.9%	13.4%	12.8%	10.3%	15.9%	13.0%	5.6%
Age 30-39	13.7%	8.6%	16.3%	19.7%	12.1%	17.2%	14.5%	4.7%
Age 40-49	16.9%	13.5%	19.7%	21.8%	18.2%	17.7%	9.3%	7.2%
Age 50-59	19.1%	25.6%	19.0%	20.0%	22.3%	17.0%	19.7%	13.6%
Age 60-69	13.2%	26.2%	11.3%	9.4%	14.1%	9.4%	16.6%	19.8%
Age 70-74	4.9%	9.8%	4.0%	2.0%	4.1%	3.5%	2.1%	11.5%
Age 75-84	7.6%	8.6%	6.1%	1.7%	6.1%	5.7%	4.7%	21.0%
Age 85+	4.0%	2.7%	2.2%	2.1%	2.8%	2.7%	3.1%	10.9%
Unknown	1.6%	-	2.3%	2.9%	1.4%	1.4%	1.6%	0.5%
Total	18,357	732	3,340	5,231	5,546	3,487	193	2,965

#### PR-3a Perpetrators - Gender Identity

"Exhibit PR-3a Perpetrators - Gender Identity FFY2017" reflects perpetrator gender identity data reported by 24 states (34,869 records submitted, of which 29,982 contained at least one gender identity data value). The majority of perpetrators, 46%, were female, and 40% were male.

Unknown 14.0%

Female 46.0%

Exhibit PR-3a Perpetrators - Gender Identity FFY2017

Transgender not shown above.

Perpetrators by Gender Identity	# of States that Submitted	# of Perpetrators	% of Perpetrators (N=34,869)
Female	23	16,043	46.0%
Male	23	13,934	40.0%
Transgender	3	5	0.01%
Unknown	20	4,887	14.0%

#### PR-3b Perpetrators - Substantiated Maltreatment Type by Gender Identity

"Exhibit PR-3b Perpetrators - Substantiated Maltreatment Type by Gender Identity FFY 2017" presents data submitted by 19 states representing 26,969 perpetrators, first for all perpetrators and then for all perpetrators of each type of substantiated maltreatment. Note that a perpetrator may have substantiation of multiple types of maltreatment, in which case that perpetrator would be included in the column of every type of maltreatment that was substantiated. This cross tabulation excludes four states' records due to the presence of too much missing data (more than 25%). The most frequent gender identity was Female among all perpetrators (50.8%) and for perpetrators of substantiated Other Type (58.7%), Abandonment (55.2%), Neglect (54.5%), and Exploitation (54.1%).

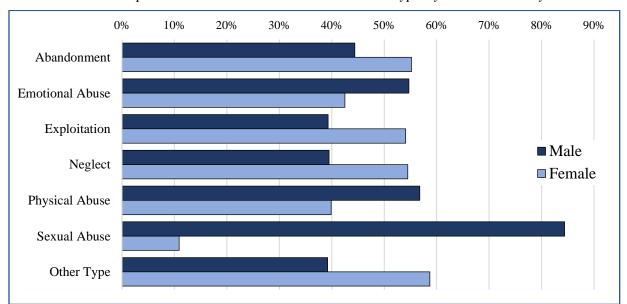


Exhibit PR-3b Perpetrators - Substantiated Maltreatment Type by Gender Identity FFY2017

Gender Identity	All Substantiated Perpetrators	Abandonment	Emotional Abuse	Exploitation	Neglect	Physical Abuse	Sexual Abuse	Other Type
Female	50.8%	55.2%	42.5%	54.1%	54.5%	39.9%	10.9%	58.7%
Male	44.2%	44.4%	54.7%	39.3%	39.5%	56.8%	84.4%	39.2%
Transgender	0.01%	-	0.04%	-	0.01%	0.1%	-	-
Unknown	5.0%	0.4%	2.8%	6.6%	6.0%	3.3%	4.8%	2.2%
Total	26,969	768	4,903	8,614	9,441	4,823	313	2,997

#### PR-4a Perpetrators - Race

Seventeen states submitted data on self-identified perpetrator race (31,286 perpetrator records submitted, of which 19,352 included at least one race). These data, for the race of perpetrators, do not include victims with a substantiation of Self-Neglect. According to the FFY2017 data reported on perpetrators' race, most perpetrators were White (46%). The next most common perpetrator race reported was Black or African American (10.9%). States are permitted to report multiple races for one individual therefore, data tables will not total 100%. Reasons for Unknown values may include: race was recorded as Unknown in state reporting system because the perpetrator did not know or disclose their race or staff were not able to determine their race; and data records submitted to NAMRS did not contain race information, presumably because the information was not collected.

0% 50% 5% 10% 15% 20% 25% 30% 35% 40% 45% White 46.0% Black or African 10.9% American American Indian or 2.3% Alaska Native 2.2% Other Race 0.7% Asian Native Hawaiian or 0.2% Other Pacific Islander

Exhibit PR-4a Perpetrators - Race FFY2017

Unknown not shown above.

Race	# of States that Submitted	Race Count	% of Perpetrators (N=31,286)
White	17	14,383	46.0%
Black or African American	13	3,402	10.9%
American Indian or Alaska Native	12	714	2.3%
Other Race	10	694	2.2%
Asian	13	204	0.7%
Native Hawaiian or Other Pacific Islander	8	53	0.2%
Unknown	16	11,934	38.1%

#### **PR-4b Perpetrators - Multiple Races**

Seventeen states submitted 31,286 records, of which 19,352 included at least one race. "Exhibit PR-4b Perpetrators - Multiple Races FFY2017" indicates the number of perpetrators with multiple races. These data, for the multiple races of perpetrators, do not include victims with a substantiation of Self-Neglect. Most perpetrator records, 61.6%, listed only one race and 38.1% did not include a race.

**Perpetrators with Multiple Races** # of States # of Perpetrators % of Perpetrators (N=31,286) No Race Submitted 16 11,934 38.1% 17 Only 1 Race 19,258 61.6% 6 94 2 or More Races 0.3%

Exhibit PR-4b Perpetrators - Multiple Races FFY2017

#### **PR-5 Perpetrators - Ethnicity**

Ten states submitted data on perpetrator ethnicity (25,628 perpetrator records submitted, of which 10,914 contained ethnicity information). These data, for the ethnicity of perpetrators, do not include victims with a substantiation of Self-Neglect. "Exhibit PR-5 Perpetrators - Ethnicity FFY2017" shows that 36.8% of perpetrators were not Hispanic, Latino/a, or Spanish. Additionally, 5.8% were classified as Hispanic, Latino/a, or Spanish and the ethnicity of 57.4% of perpetrators was unknown. Unknown may be reported because of several reasons, including: state collected race and ethnicity as a single data element, so only the perpetrator's race was recorded; state reporting system collects Unknown ethnicity because the perpetrator did not know or disclose their ethnicity or staff were not able to determine perpetrator ethnicity; and data record submitted to NAMRS did not contain the ethnicity information presumably because the information was not collected.

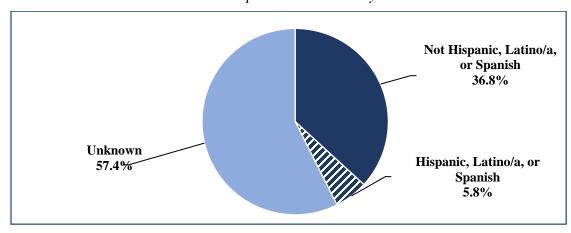


Exhibit PR-5 Perpetrators - Ethnicity FFY2017

Ethnicity	# of States that Submitted	Ethnicity Count	% of Perpetrators (N=25,628)
Not Hispanic, Latino/a, or Spanish	9	9,421	36.8%
Hispanic, Latino/a, or Spanish	10	1,493	5.8%
Unknown	10	14,714	57.4%

#### PR-6a Perpetrators - Race/Ethnicity

Seventeen states submitted 31,286 records of which 19,562 contained race/ethnicity information. The majority of perpetrators were white (41.9%), as shown in "Exhibit PR-6a Perpetrators - Race/Ethnicity FFY2017." These data, for the race/ethnicity of perpetrators, do not include victims with a substantiation of Self-Neglect.

0% 5% 10% 15% 20% 25% 30% 35% 40% 45% 41.9% White Non-Hispanic Black or African American 10.7% Non-Hispanic Hispanic, Latino/a, 4.8% or Spanish American Indian or Alaska 2.2% Native Non-Hispanic Other Non-Hispanic 2.0%

Exhibit PR-6a Perpetrators - Race/Ethnicity FFY2017

Categories less than 1% not shown above. Unknown not shown above.

Race/Ethnicity	# of States that Submitte d	Race/Ethnici ty Count	% of Perpetrato rs (N=31,286)
White Non-Hispanic	17	13,096	41.9%
Black or African American Non-Hispanic	13	3,340	10.7%
Hispanic, Latino/a, or Spanish	10	1,493	4.8%
American Indian or Alaska Native Non-Hispanic	9	691	2.2%
Other Non-Hispanic	10	614	2.0%
Asian Non-Hispanic	13	189	0.6%
Native Hawaiian or Other Pacific Islander Non- Hispanic	8	53	0.2%
Multiple Races Non-Hispanic	6	86	0.3%
Unknown	16	11,724	37.5%

## PR-6b Perpetrators - Substantiated Maltreatment Type by Race/Ethnicity

"Exhibit PR-6b Perpetrators - Substantiated Maltreatment Type by Race/Ethnicity FFY2017" presents data submitted by 10 states representing 18,434 perpetrators, first for all perpetrators and then for all perpetrators of each type of substantiated maltreatment. A perpetrator may have substantiation of multiple types of maltreatment, in which case that perpetrator would be included in the column of every type of maltreatment that was substantiated. This cross tabulation excludes eight states' records due to the presence of too much missing data (more than 25%). The most frequent race/ethnicity was White Non-Hispanic among all perpetrators (53.7%) and for perpetrators of every type of substantiated maltreatment, including Other Type (65.7%), Abandonment (59.6%), Emotional Abuse (55.6%), and Exploitation (54.8%).

Exhibit PR-6b Perpetrators - Substantiated Maltreatment Type by Race/Ethnicity FFY2017

Race/Ethnicity	All Substantiated Perpetrators	Abandonment	Emotional Abuse	Exploitation	Neglect	Physical Abuse	Sexual Abuse	Other Type
White Non-Hispanic	53.7%	59.6%	55.6%	54.8%	49.2%	50.1%	48.4%	65.7%
Black/African American Non-Hispanic	14.3%	12.4%	12.3%	12.5%	17.5%	16.5%	17.7%	10.2%
Hispanic/Latino	7.1%	0.6%	7.4%	4.9%	7.5%	12.5%	7.0%	0.4%
American Indian/Alaska Native Non- Hispanic	3.3%	15.9%	1.2%	1.4%	1.4%	0.7%	3.2%	12.3%
Other Non-Hispanic	2.9%	5.1%	2.0%	2.5%	2.2%	2.1%	4.8%	6.4%
Asian Non-Hispanic	0.9%	3.1%	0.4%	0.4%	0.9%	0.5%	-	2.1%
Native Hawaiian/Other Pacific Islander Non-Hispanic	0.3%	-	0.3%	0.3%	0.4%	0.4%	-	-
Multiple Races Non-Hispanic	0.4%	-	0.2%	0.6%	0.5%	0.6%	1.1%	-
Unknown	17.1%	3.4%	20.6%	22.7%	20.4%	16.6%	17.7%	3.1%
Total	18,434	732	3,788	5,313	5,775	3,527	186	2,671

## PR-7a Perpetrators - Disabilities

Five states reported data on one or more perpetrator disabilities (12,695 perpetrator records submitted, of which 5,491 included at least one disability). These data, for perpetrators with disabilities, do not include victims with a substantiation of Self-Neglect. These disabilities include perpetrators' physical, emotional, and mental health issues that result in a limitation in activities and restrictions to fully participate at school, work, or in the community. States may submit more than one disability per perpetrator therefore, the data table percentages will not equal 100%. The three highest reported difficulties experienced by perpetrators, as shown in "Exhibit PR-7a Perpetrators - Disabilities FFY2017," were Vision (20.6%), Ambulatory (14.7%), and Cognitive (14.1%). Unknown disabilities data of 55.8% include: Unknown in state reporting system or staff were not able to determine; and data submitted to NAMRS did not contain the information, presumably because the information was not collected.

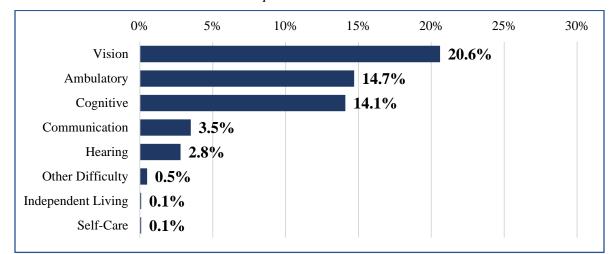


Exhibit PR-7a Perpetrators - Disabilities FFY2017

None and Unknown not shown above.

Disability	# of States that Submitted	<b>Disabilities Count</b>	% of Perpetrators (N=12,695)
Vision	3	2,618	20.6%
Ambulatory	3	1,860	14.7%
Cognitive	4	1,795	14.1%
Communication	2	439	3.5%
Hearing	3	355	2.8%
Other Difficulty	2	62	0.5%
Independent Living	1	19	0.1%
Self-Care	2	12	0.1%
None	1	115	0.9%
Unknown	5	7,089	55.8%

## **PR-7b Perpetrators - Multiple Disabilities**

Five states submitted 12,695 records of which 5,491 records contained information for multiple disabilities. "Exhibit PR-7b Perpetrators - Multiple Disabilities FFY2017" indicates the number of perpetrators with multiple disabilities. These data, for perpetrators with multiple disabilities, do not include victims with a substantiation of Self-Neglect. No disability was reported in 56.7% of perpetrator records and 33.3% of records indicated only one disability.

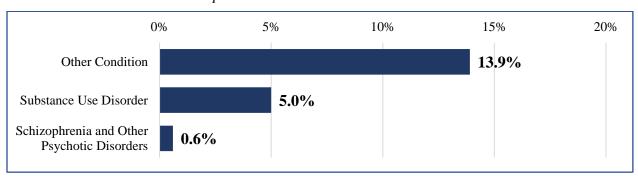
Exhibit PR-7b Perpetrators - Multiple Disabilities FFY2017

Perpetrators with Multiple Disabilities	# of States	# of Perpetrators	% of Perpetrators (N=12,695)
No Disability Submitted	5	7,204	56.7%
Only 1 Disability	5	4,224	33.3%
2 Disabilities	3	919	7.2%
3 Disabilities	3	298	2.3%
4 or More Disabilities	2	50	0.4%

#### PR-8a Perpetrators - Behavioral Health Conditions

NAMRS has eight distinct behavioral health screening or diagnosis data elements plus the Other Condition category. States may submit multiple behavioral health conditions for each perpetrator therefore, the data table percentages will not equal 100%. Three states reported on perpetrators' behavioral health screenings or diagnoses (8,893 perpetrator records submitted, of which 1,775 contained behavioral health information). These data do not include victims with a substantiation of Self-Neglect. "Exhibit PR-8a Perpetrators - Behavioral Health Conditions FFY2017" shows that the top two behavioral health conditions of perpetrators were Other Condition (13.9%) and Substance Use Disorder (5.0%). State examples of Other Condition include specific behavioral health conditions not listed as a NAMRS data element value.

Exhibit PR-8a Perpetrators - Behavioral Health Conditions FFY2017



Categories less than 0.5% not shown above. None and Unknown not shown above.

Perpetrators - Behavioral Health Conditions FFY2017

Behavioral Health Condition	# of States that Submitted	Behavioral Health Condition Count	% of Perpetrators (N=8,893)
Other Condition	3	1,235	13.9%
Substance Use Disorder	3	442	5.0%
Schizophrenia and Other Psychotic Disorders	1	53	0.6%
Dementia	1	40	0.4%

Behavioral Health Condition	# of States that Submitted	Behavioral Health Condition Count	% of Perpetrators (N=8,893)
Alcohol Use Disorder	1	18	0.2%
Traumatic Brain Injury	1	3	0.03%
Anxiety	0	-	-
Bipolar Disorder	0	-	-
Depression	0	-	-
None	1	790	8.9%
Unknown	3	6,328	71.2%

#### PR-8b Perpetrators - Multiple Behavioral Health Conditions

Four states submitted 8,893 perpetrator records of which 1,775 contained multiple behavioral health conditions information. "Exhibit PR-8b Perpetrators - Multiple Behavioral Health Conditions FFY2017" indicates the number of perpetrators with multiple behavioral health conditions and does not include victims with a substantiation of Self-Neglect. No condition was included for 80.0% of perpetrator records and 19.8% indicated only one condition.

Exhibit PR-8b Perpetrators - Multiple Behavioral Health Conditions FFY2017

Perpetrators with Multiple	# of	# of	% of Perpetrators
Conditions	States	Perpetrators	(N=8,893)
No Condition Submitted	4	7,118	80.0%
Only 1 Condition	4	1,760	19.8%
2 or More Conditions	2	15	0.2%

## **Case Component - Client-Perpetrator Relationships**

#### **CPR-1 Client-Perpetrator Relationship Record Submission**

The Client-Perpetrator Relationship Entity collected data on each of the characteristics of the relationship between each perpetrator and each client with whom there was a relationship. The description of client and perpetrator relationship is referring to the client that becomes a victim when a maltreatment is substantiated. A perpetrator could be associated with more than one client with at least one substantiated maltreatment, and a client could be associated with more than one perpetrator. Twenty-two of the 24 states that submitted Case Component data reported on the kinship relationship between the client and perpetrator (29,675 relationship records submitted). These Client-Perpetrator-Relationship data do not include victims with a substantiation of Self-Neglect. "Exhibit CPR-1 Client-Perpetrator Relationship Record Submission FFY2017" shows the client and perpetrator relationship data elements which include: Relationship ID, Kinship Relationship, Cohabitation at Start and Close of Case, Perpetrator Association at Start and Close

of Case, Perpetrator Substitute Decision Maker at Start and Close of Case, and Perpetrator Legal Remedy.

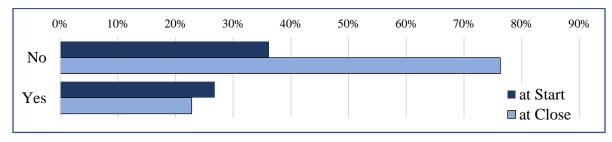
Exhibit CPR-1 Client-Perpetrator Relationship Record Submission FFY2017

Data Element	# of States that Submitted	# of Records from States	# of Records with Data	% of Records from States with Data	% of Total Records (N=29,675)
Kinship Relationship	22	29,675	26,700	90.0%	90.0%
Cohabitation at Close	4	3,919	3,762	96.0%	12.7%
Perpetrator Association at Start	7	10,439	4,855	46.5%	16.4%
Cohabitation at Start	4	2,869	2,020	70.4%	6.8%
Perpetrator Association at Close	3	3,695	1,850	50.1%	6.2%
Perpetrator Legal Remedy	3	3,545	695	19.6%	2.3%
Perpetrator Substitute Decision Maker at Start	4	3,005	154	5.1%	0.5%
Perpetrator Substitute Decision Maker at Close	-	-	-	-	-

## **CPR-2 Client-Perpetrator Relationships - Cohabitation**

NAMRS data include both client and perpetrator cohabitation status at the start of the investigation and close of the investigation. "Exhibit CPR-2 Client-Perpetrator - Cohabitation FFY2017" displays the information provided by four states (29,655 relationship records submitted, of which 2,290 submitted the cohabitation both at the start and end of the investigation). It shows that at the start of the investigation cohabitation was present in 26.8% of relationships and close-of-investigation cohabitation was present in 22.8% of relationships.

Exhibit CPR-2 Client-Perpetrator - Cohabitation FFY2017



Unknown not shown above.

Client-Perpetrator Cohabitation	Start Counts	% of Relationships (N=2,290)	Close Counts	% of Relationships (N=2,290)
No	828	36.2%	1748	76.3%
Yes	613	26.8%	522	22.8%
Unknown	849	37.1%	20	0.9%

## **CPR-3** Client-Perpetrator Relationships - Kinship Relationship

The kinship relationship between the client and perpetrator was reported by 22 states (29,675 relationship records submitted, of which 26,700 contained kinship relationship information). The top three kinship relationships displayed in "Exhibit CPR-3 Client-Perpetrator - Kinship Relationship FFY2017" were client's child at 22.5%, Other Relative at 12.5%, and Spouse at 9.4%. The client-perpetrator relationship was reported as None or Unknown for 41.4% of client records. Reasons for Unknown values may include: recorded as Unknown in state reporting system or staff were not able to determine; and data submitted to NAMRS did not contain the information, presumably because the information was not collected. The Kinship Relationship data do not include victims with a substantiation of Self-Neglect.

0% 5% 10% 15% 20% 25% 30% Child 22.5% 12.5% Other Relative Spouse 9.4% Parent 4.8% Grandchild 3.2% Kinship (Non-Specific) 2.8% Sibling 2.4%

Exhibit CPR-3 Client-Perpetrator - Kinship Relationship FFY2017

Categories less than 1% not shown above. None and Unknown not shown above.

Client-Perpetrator Kinship	# of States that Submitted	Relationship Count	% of Relationships (N=29,675)
Child	19	6,672	22.5%
Other Relative	19	3,723	12.5%
Spouse	21	2,791	9.4%
Parent	18	1,430	4.8%
Grandchild	15	955	3.2%
Kinship (Non-Specific)	4	827	2.8%
Sibling	15	715	2.4%

Client-Perpetrator Kinship	# of States that Submitted	Relationship Count	% of Relationships (N=29,675)
Domestic Partner/Civil Union	12	254	0.9%
Grandparent	4	15	0.1%
None	16	9,318	31.4%
Unknown	6	2,975	10.0%

#### **CPR-4** Client-Perpetrator Relationships - Perpetrator Association at Start

NAMRS data provide information about the association between the client and perpetrator at the start of the investigation and close of the investigation. These data indicate if the perpetrator has a caregiving relationship to the client at the start of the investigation. Seven states submitted this data element (10,439 relationship records submitted, of which 3,404 included at least one perpetrator association). These data do not include victims with a substantiation of Self-Neglect. "Exhibit CPR-4 Client-Perpetrator - Perpetrator Association at Start FFY2017" shows that 10.1% of client perpetrators were Unpaid, Nonrelative Caregivers at the start of the investigation. Relative Caregiver (Non-Specific) accounted for 5.4% of perpetrators and 53.5% of relationships were reported as Unknown. Reasons for Unknown values may include: recorded as Unknown in state reporting system or staff were not able to determine; and data submitted to NAMRS did not contain the information, presumably because the information was not collected.

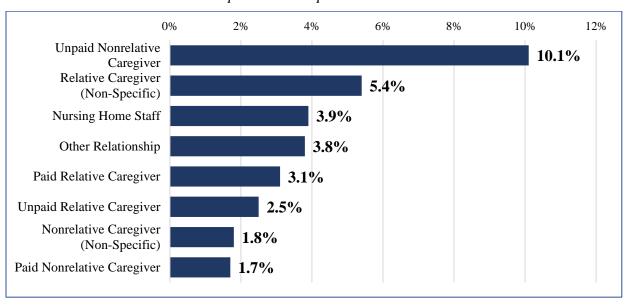


Exhibit CPR-4 Client-Perpetrator - Perpetrator Association at Start FFY2017

Categories less than 1% not shown above. None and Unknown not shown above.

Perpetrator Associations at Start	# of States that Submitted	Start Count	% of Associations (N=10,439)
Unpaid Nonrelative Caregiver	3	1,052	10.1%
Relative Caregiver (Non-Specific)	1	565	5.4%
Nursing Home Staff	2	410	3.9%
Other Relationship	3	396	3.8%
Paid Relative Caregiver	2	323	3.1%
Unpaid Relative Caregiver	1	264	2.5%
Nonrelative Caregiver (Non-Specific)	2	183	1.8%
Paid Nonrelative Caregiver	4	173	1.7%
Residential Care Community Staff	1	38	0.4%
None	1	1,451	13.9%
Unknown	6	5,584	53.5%

#### **CPR-5** Client-Perpetrator Relationships - Perpetrator Association at Close

Client Perpetrator Relationship data indicate if the perpetrator has a caregiving relationship to the client at the close of the investigation. Two states provided 3,695 records of which 1,850 relationship records submitted included at least one perpetrator association. "Exhibit CPR-5 Client-Perpetrator - Perpetrator Association at Close FFY2017" specifies that 22.9% of records indicated an association of Other Relationship, 15.3% as Relative Caregiver (Non-Specific), and 6% as Nursing Home Staff. These data do not include victims with a substantiation of Self-Neglect. The client-perpetrator relationship at the close of investigation was unknown for 49.9% of records submitted. Reasons for Unknown values may include: recorded as Unknown in state reporting system or staff were not able to determine; and data submitted to NAMRS did not contain the information, presumably because the information was not collected.

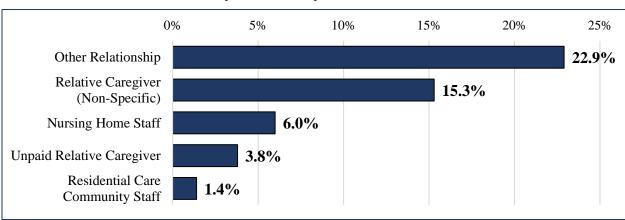


Exhibit CPR-5 Client-Perpetrator - Perpetrator Association at Close FFY2017

Categories less than 1% not shown above. None and Unknown not shown above.

Perpetrator Associations at Close	# of States that Submitted	Close Count	% of Associations (N=3,695)
Other Relationship	2	846	22.9%
Relative Caregiver (Non-Specific)	1	565	15.3%
Nursing Home Staff	1	220	6.0%
Unpaid Relative Caregiver	1	141	3.8%
Residential Care Community Staff	1	53	1.4%
Unpaid Nonrelative Caregiver	1	14	0.4%
Paid Nonrelative Caregiver	1	7	0.2%
Paid Relative Caregiver	1	4	0.1%
Nonrelative Caregiver (Non-Specific)	-	-	-
None	-	-	-
Unknown	2	1,845	49.9%

## **Client-Perpetrator Relationships - Perpetrator Substitute Decision Maker**

NAMRS requests information about perpetrators' role as the clients' substitute decision maker at the start and close of the investigation. This includes authorizations that are in effect related to health, personal, or financial decision making for the client. Four states submitted data for the start of the investigation for 154 of the 3,005 records. No state was able to submit the information at the close of the investigation. An exhibit was not produced due to lack of available data.

#### **CPR-6a Client-Perpetrator Relationships - Perpetrator Legal Remedy Recommendations**

Three states provided data on whether legal remedies were recommended or sought by the APS agency regarding the status of the perpetrator (3,545 relationship records submitted, of which 695 included perpetrator legal remedy recommendations). States could submit multiple code values therefore, the data table percentages will not equal 100%. "Exhibit CPR-6a Client-Perpetrator - Perpetrator Legal Remedy Recommendations FFY2017" provides the legal remedy recommendations among client and perpetrator relationships. Other Legal Remedy was the highest at 16.4% and Restraining Order was the second highest at 2.8%. Examples of other legal remedies include police/district attorney intervention, Ex Parte (temporary order), emergency guardianship, and guardianship. These data do not include victims with a substantiation of Self-Neglect.

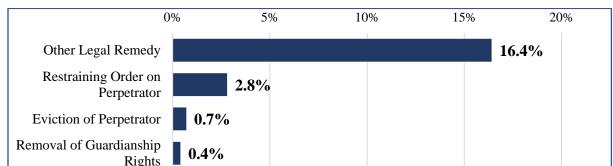


Exhibit CPR-6a Client-Perpetrator - Perpetrator Legal Remedy Recommendations FFY2017

Categories less than 0.5% not shown above. None and Unknown not shown above.

Legal Remedy Recommendations	# of States that Submitted	Legal Remedy Recommendation Count	% of Relationships (N=3,545)
Other Legal Remedy	3	581	16.4%
Restraining Order on Perpetrator	3	98	2.8%
Eviction of Perpetrator	1	24	0.7%
Removal of Guardianship Rights	1	14	0.4%
Restitution by Perpetrator	-	-	-
None	2	1510	42.6%
Unknown	2	1340	37.8%

# **CPR-6b** Client-Perpetrator Relationships - Multiple Perpetrator Legal Remedy Recommendations

Three states submitted 3,545 records of which 695 contained Legal Remedy Recommendations information. States could submit multiple legal remedy code values for each client. "Exhibit CPR-6b Client-Perpetrator Relationships - Multiple Perpetrator Legal Remedy Recommendations FFY2017" indicates that legal remedy recommendations were either not known or were not sought in 80.4% of records submitted and 19% sought one legal remedy recommendation. These data do not include victims with a substantiation of Self-Neglect.

Exhibit CPR-6b Client-Perpetrator Relationships - Multiple Perpetrator Legal Remedy Recommendations FFY2017

Relationships with Multiple Remedies	# of States	# of Relationships	% of Relationships (N=3,545)
No Remedy Submitted	3	2,850	80.4%
Only 1 Remedy	3	674	19.0%
2 or More Remedies	1	21	0.6%

## End of NAMRS FFY 2017 Report 3: Case Component

For more information about NAMRS please direct inquiries to ACL Program Officer <u>Stephanie Whittier Eliason</u>